# Designing Semantic Feature Spaces for Brain-Reading

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# Abstract.

We focus on a brain-reading task which consists in discovering the word a person is thinking of from an fMRI image of his brain. Previous studies have demonstrated the feasibility of this brain-reading task through the design of what has been called a semantic space, i.e. a continuous low dimensional space reflecting the similarity between words. Up to now better results are achieved when carefully designing the semantic space by hand, which limits the generality of the method. We propose to automatically design several semantic space from linguistic resources and to combine them in a principled way so as to reach results as accurate as when using a manually built semantic space.

# 1 Introduction

Neuroimaging gained much interest in the last decade in many fields ranging from philosophy and psychology to neuroscience and artificial intelligence. Among brain imaging techniques, functional Magnetic Resonance Imaging (fMRI) has become a primary tool to detect mental activity with a great spatial resolution [1]: an fMRI image contains approximately 20,000 voxels (volumantic pixels) that are activated when a human performs a particular cognitive function (e.g., reading, mental imagery) [2]. With fMRI, it became possible to associate brain areas with cognitive states: specific conceptual words and pictures trigger specific activity in some parts of the brain and studies began to focus on the extraction of meaningful brain activation patterns [3, 4].

A pioneering work [5] showed that it was possible to predict the brain activation pattern (a fMRI image) in response to a given conceptual stimulus (e.g. a word). Reciprocally [6] demonstrated on the same dataset the feasibility of identifying the concept from the brain activation pattern (fMRI image). The proposed approaches for these two reciprocal tasks share the definition of a semantic (or representation) space for representing the concepts. The underlying idea of using an intermediate semantic space is that it allows casting the problem of inferring the concept from the fMRI (and vice versa) as a standard regression problem from the fMRI voxel space to the semantic space (and vice versa). Importantly if the representation space is designed in such a way that one can get the representation of any new word, such a strategy naturally allows to recognize concepts from fMRI even if there was no training fMRI image for this word. Indeed this may be done in two steps: first compute a point in the semantic space



Fig. 1: Brain-reading processing chain

using the regression model and an input fMRI image and second, find the word whose representation is the closest to this point. This is the zero-shot learning setting which was studied in [6].

In preliminary works the semantic space was defined by hand. [6] manually designed a 218 dimensional representation space where a concept representation is defined according to the answers to 218 questions such as 'is it manmade?' or 'can you hold it?'. Such semantic space were designed for a particular set of concepts. Later on, to extend these methods to deal with a larger number of concepts, researchers have investigated exploiting lexical and corpus resources to automatically design a universal and accurate semantic space. For instance, [6] built a 5000 dimensional semantic space from the Google n-gram corpus, [7] found that co-occurrences counts with very high frequency words were an informative representation of words for semantic tasks, [8] examined various semantic feature representations of concrete nouns derived from 50 million English-language webpages...

This work deals with the problem of the automatic design of a semantic space for [6]'s task, i.e. predicting the concept from the fMRI image in the zero-shot learning setting for which best performing systems today rely on a manually designed semantic space which is dedicated to the limited set of concepts to be recognized. Since previous studies have shown the superiority of manually designed semantic space we propose to combine multiple and diverse semantic spaces, either automatically learned from huge corpora, following recent works in the machine learning and representation learning community [9], or designed from various linguistic resources (e.g. *WordNet* [12]). To enable accurate exploitation of these semantic spaces, we propose to use an effective blockwise regularized learning algorithm [10] that prevents overfitting and focus on relevant informations contained in the fMRI images.

# 2 Learning Models for Brain Decoding

The idea we propose in this study consists in combining multiple semantic spaces, some of them being designed automatically using linguistic resources while others are learnt using representation learning ideas such as the one in [9]. Our system for inferring a concept from an fMRI image is illustrated in figure 2. It relies on two mapping functions:  $\Omega$  maps a single word w in a continuous *p*-dimensional

space so that  $\Omega(w) = \mathbf{z} \in \mathbb{R}^p$ . We refer to this space as a semantic space.  $\Omega$  is built using external resources [9, 11] and we give detail in section 3 about the considered representation spaces. The second multilinear mapping function is  $\beta$ that enables us to make the link between the fMRI  $\mathbf{x} \in \mathbb{R}^d$  (an image made of d voxels) and the word semantic representation  $\mathbf{z} \in \mathbb{R}^p$ . First, we consider the simple strategy of learning independently multiple ridge regressions: this will be the baseline for exploiting multiple semantic spaces in our experiments. Next we investigated a more advanced multitask strategy using the multitask blockwise regularized LASSO from [10]. The idea is to regularize jointly all regression models to take into account globally the relevance of every voxel with respect to the task. We explain here this strategy. Note that we learn one independent model for each subject.

Let  $X = {\mathbf{x}_i}_{i=1,...,N}$ ,  $\mathbf{x}_i \in \mathbb{R}^d$  be the collection of fMRI for a subject and  $Z = {\mathbf{z}_i}_{i=1,...,N}$ ,  $\mathbf{z}_i \in \mathbb{R}^p$  be the collection of associated word semantic representations. The ridge regressor (RR) consists in learning  $\beta \in \mathbb{R}^{d \times p}$  coefficients that map efficiently from the voxel space to the semantic space. As far as the multitask LASSO (MTL) is concerned, the global blockwise regularized problem is formulated as:

$$\underset{\beta}{\operatorname{argmin}} \left( \frac{1}{2} \sum_{i=1}^{N} \| \mathbf{z}_i - \mathbf{x}_i \beta \|^2 + \lambda \sum_{j=1}^{p} \| \beta_j \|_{\infty} \right) \text{ with } \| \beta_j \|_{\infty} = \max_{\ell} |\beta_{\ell j}| \quad (1)$$

The resulting  $\beta$  matrix will have entire rows that vanish during training so as to focus only on relevant voxels. We adopted a blockwise coordinate descent algorithm proposed in [10] to solve the multitask regression.

After training K models  $\beta^{(k)}$ , corresponding to K different semantic spaces, we still have to build a decision criterion to choose the word to be associated to the fMRI. We map each word w in the kth semantic space using the  $\Omega^{(k)}$  function, thus we get  $\Omega^{(k)}(w) \in \mathbb{R}^p$ . In parallel, we obtain K semantic representations associated to the fMRI **x** using  $\beta^{(k)}$  coefficients. Then we compute the cosine similarity in the intermediate space and we merge the results using a linear combination:

$$sim(\mathbf{x}, w) = \sum_{k=1}^{K} \lambda_k \frac{\langle \mathbf{x}\beta^{(k)}, \Omega^{(k)}(w) \rangle}{\|\mathbf{x}\beta^{(k)}\| \|\Omega^{(k)}(w)\|} \text{ s.t. } \sum_k \lambda_k = 1$$
(2)

Obviously, the word with the highest similarity to an fMRI is chosen.

# 3 Experiments and Discussion

#### 3.1 fMRI Dataset and Task

The fMRI data was collected from nine participants while they react to a double stimuli: a line-drawing as well as a text label corresponding to a particular concept [5]. There were 60 concepts (classes) belonging to 12 semantic categories (i.e., mammals, body parts, buildings, clothes, furniture, insects...). The dataset

includes 5 examples per class. Every fMRI image consists in about 20,000 voxels representing the cortex activity. Following [5] we eventually considered in our experiments subsets of 500 to 10000 voxels using the same selection procedure based on a stability criterion.

We investigated the zero-shot learning setting defined in [6]. Experiments consist in using 58 classes for training the system and 2 classes for testing. As a consequence the classes from the test set are completely unknown.

#### 3.2 Word Semantic Features

We exploited three approaches for designing a semantic space which we describe now.

WordNet based semantic space (WN) WordNet provides easy ways for designing a semantic space. Actually this lexicon is organized as a hierarchical tree with concepts and subconcepts. As a consequence, it is possible to compute a path in the tree between two concepts (words). Intuitively the smaller this path the closer are the two concepts [12]. Based on such a metric one can represent a given word in a fixed p-dimensional space by computing its distance to a given set of p representative words, we considered the most common words in Wikipedia. We will call such a semantic space  $WN_{path}$ . Alternative metrics have been proposed in the literature that lead to other semantic spaces: one can prefer to measure the closeness of two concepts with respect to their closest common ancestor [11] (we will note the corresponding semantic space  $WN_{anc}$ ) and [13] defines a criterion inspired from mutual information, comparing the weights of subtrees associated to each concept (this semantic space will be denoted  $WN_{mi}$ ).

Word2Vec semantic space (W2V) Representation learning has emerged in the recent years as a key research field in the machine learning community. Word2Vec is an efficient tool that learns continuous and dense representations of words from text data [9]. It is a supervised learning approach based on neural networks which learns to encode in its hidden layer a vector representation which captures syntactic and semantic patterns of words.

Human218 semantic space  $(H_{218})$  The last semantic space we considered is a baseline noted  $H_{218}$ . As explained before it is a manually designed space which has been obtained from crowdsourcing [6]. For each concept under consideration a 218 dimensional representation is defined according to the answers from a set of volunteers to 218 questions like *is it manmade?* or *can you hold it?*.

#### 3.3 Results and Discussion

A preliminary experiment consists in optimizing the semantic space dimension p using a large set of voxels (we fix d = 2000). Considering all results from Fig 2 one sees a dimension p = 150 offers a good trade-off between complexity and accuracy: we will keep this value for further experiments. Also, given a



Fig. 2: Accuracy (zero-shot learning) wrt the semantic space dimension, for various semantic spaces (W2V, WN, ...) and for the two training strategies (MTL = Multitask LASSO/ Ridge = Ridge Regression)

particular semantic space, we notice that MTL (multitask LASSO) systematically overcomes Ridge regression which validates our regularization strategy for identifying and neglecting unnecessary voxels. Hence we will focus on this model in further experiments.

Then, we performed a combined experiment that studies the impact of voxel preprocessing (we reduce the voxel space using the stability criterion proposed in [5]) as well as the interest of mixing different semantic spaces. All results are provided in Fig 3. As far as the voxel space size is concerned, best results are obtained for a dimension of 2000. In particular it may be seen that although the MTL procedure is designed to select relevant voxels it is not fully able to deal efficiently with large dimensional noisy data such as fMRI images and requires a preliminary preprocessing.

Our most important result lies in the overall performance on this difficult brain-reading task: up to now, state-of-the-art results relied on Human218  $(H_{218})$  resources [6], which is hand-made for this task and questions the ability to generalize the process to a larger vocabulary. We demonstrate here the interest of combining different lexical and learnt resources to outperform this strategy. While  $H_{218}$  reaches an accuracy of 80.3% (last column of Fig. 3), being far above the best single model (WNanc) that reaches 76.2%, it is outperformed by our combination schemes. Combining 2 resources provides a significative improvement to catch up with  $H_{218}$ : W2V + WNanc model reaches 80.3% accuracy. Adding a third resource (WNpath) we reach 80.7% accuracy. The comparison of various combinations confirms our assumption: it is more relevant to combine heterogeneous spaces like W2V and WN than to work with a single resource.

# 4 Conclusion

Predicting a concept stimulus from a fMRI image is a hard task which is traditionally tackled through the definition of a manual semantic space and the learning of a regression model. While this approach has been shown effective for a limited set of concepts the manual design of the semantic space prevents the approach to be extended to a larger number of concepts. We tackled the problem by relying on multiple semantic space automatically designed from resources



Fig. 3: Accuracy (zero-shot learning) with Multitask LASSO wrt the voxel space dimension and for various semantic space combinations.

and trained from large corpora. Given the dimension of fMRI, it is necessary to implement a robust learning strategy: the multitask LASSO we designed allows us to efficiently select relevant voxels. MTL, combined with Word2Vec and WordNet, catches up with the state-of-the-art performance in brain-reading relying on hand-made resource. It is a promising step towards more advanced brain-reading tasks.

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