

# DE L'INTELLIGENCE ARTIFICIELLE AUX MODÈLES DE FONDATION

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GDR RADIA

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<https://vguigue.github.io>



FROM AI TO  
MACHINE-LEARNING



# Historique rapide de l'IA

## Naissance de l'informatique... Et de l'Intelligence Artificielle

1956 Dartmouth Conference:  
The Founding Fathers of AI



A. Turing



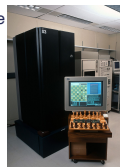
Y. Lecun  
Automated  
cheque reading



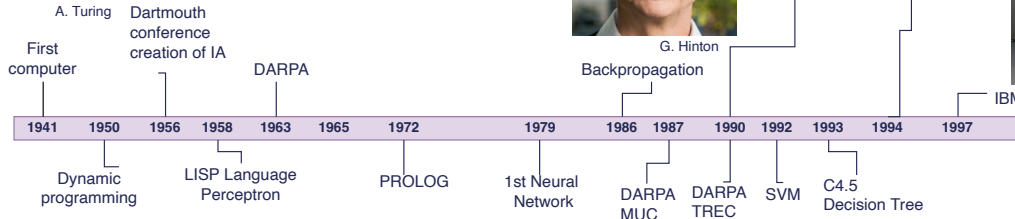
E. Dickmanns :  
1000km in  
autonomous vehicle



G. Hinton  
Backpropagation



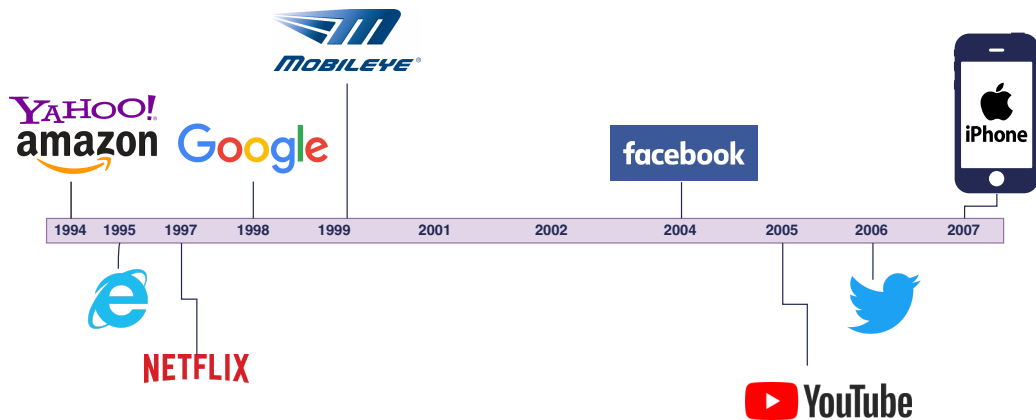
IBM Deeper Blue





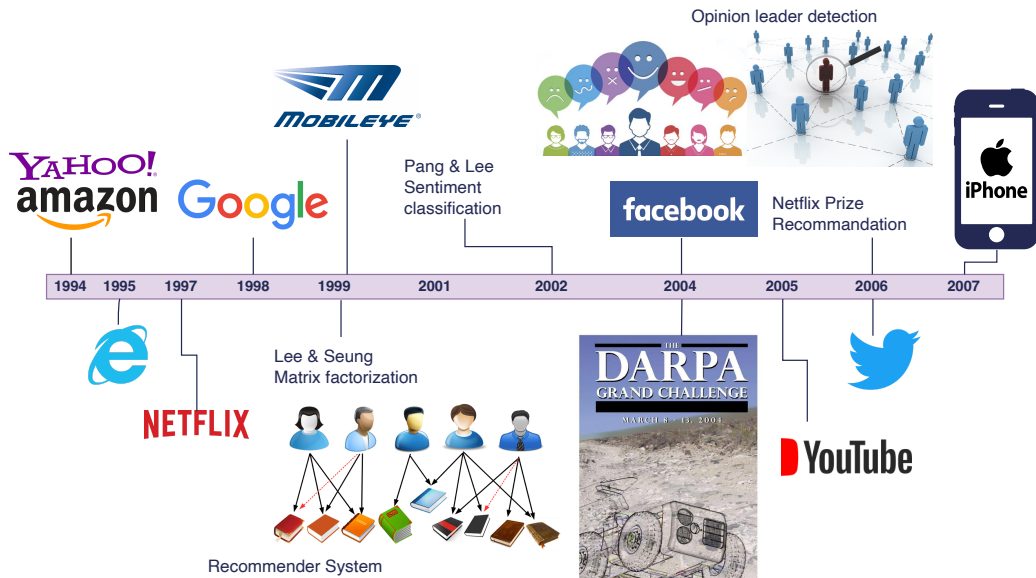
# Historique rapide de l'IA

## Emergence (ou refondation) des GAFAM/GAMMA



# Historique rapide de l'IA

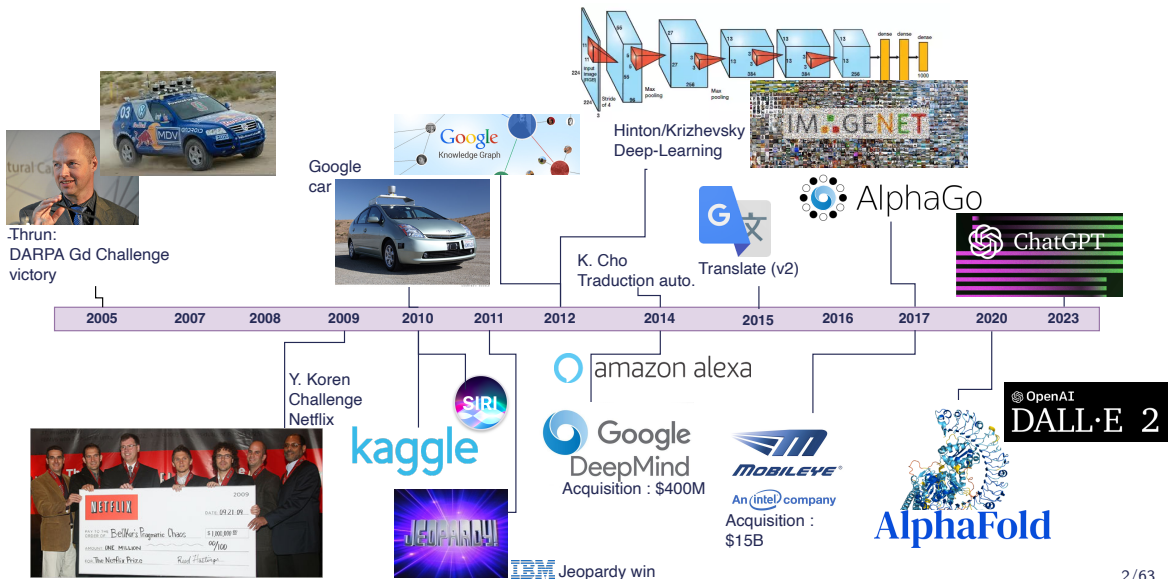
## Emergence (ou refondation) des GAFAM/GAMMA



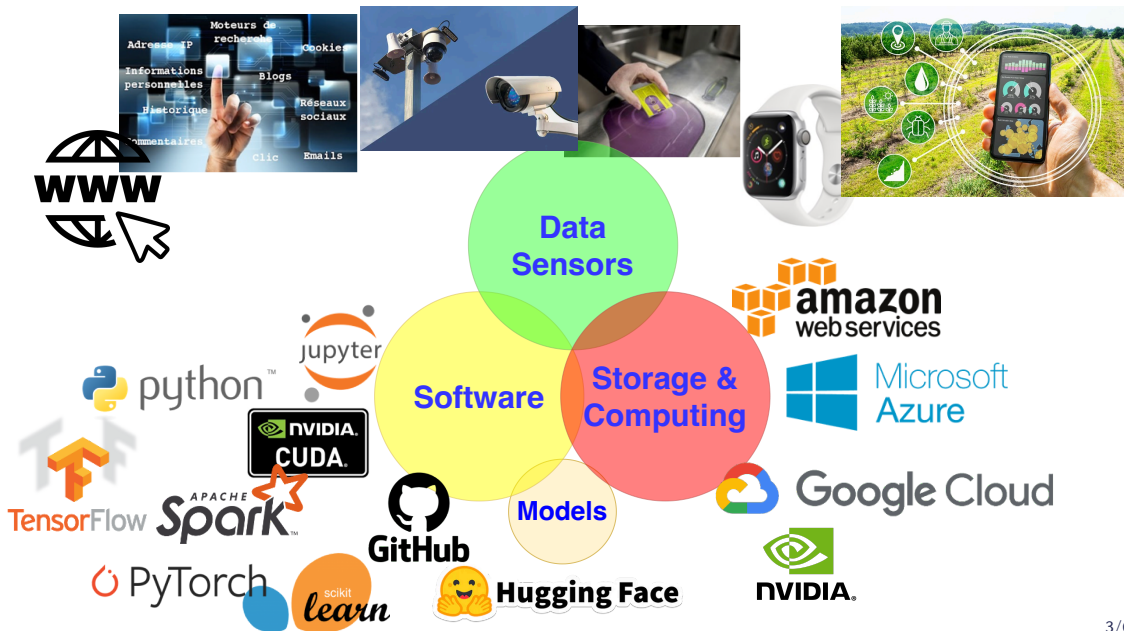


# Historique rapide de l'IA

## Formation d'une vague de l'Intelligence Artificielle

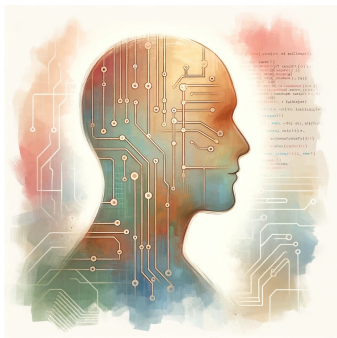


# Ingrédients de l'Intelligence Artificielle





# Intelligence Artificielle & Machine Learning



Input ( $\mathbf{x}$ )	Output ( $\mathbf{Y}$ )	Application
email	→ spam? (0/1)	spam filtering
audio	→ text transcript	speech recognition
English	→ Chinese	machine translation
ad, user info	→ click? (0/1)	online advertising
image, radar info	→ position of other cars	self-driving car
image of phone	→ defect? (0/1)	visual inspection

**IA** : programmes informatiques qui s'adonnent à des tâches qui sont, pour l'instant, accomplies de façon plus satisfaisante par des êtres humains car elles demandent des processus mentaux de haut niveau.

*Marvin Lee Minsky, 1956*

**N-AI (Narrow Artificial Intelligence)**, dédiée à une tâche

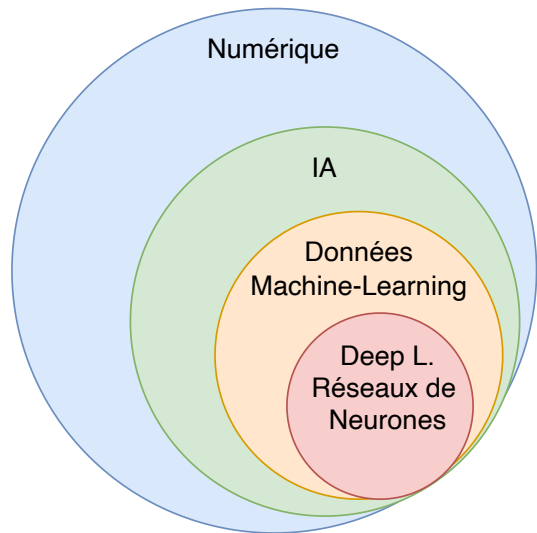
≠ **G-AI (General AI)** qui remplace l'humain dans des systèmes complexes.

*Andrew Ng, 2015*





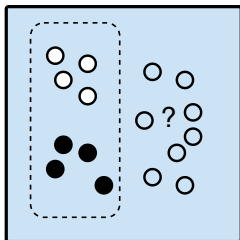
# Place de l'IA dans le numérique



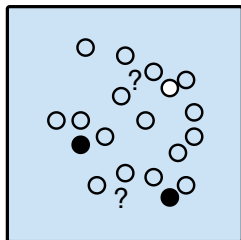
- Caisse automatique du supermarché
- Google Maps
- Système prédictif (e.g. marché immobilier), recommandation
- chatGPT



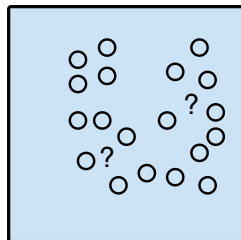
# Cadres en machine-learning



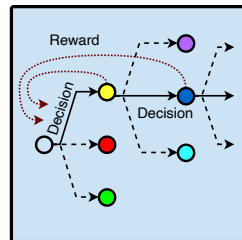
Apprentissage  
supervisé



Apprentissage  
semi-supervisé



Apprentissage  
non-supervisé

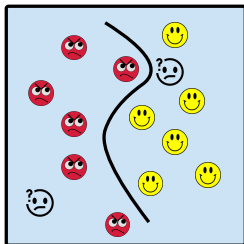


Apprentissage par  
renforcement

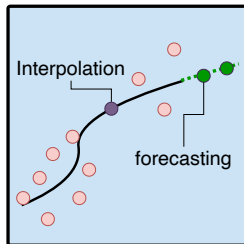
- Différentes **modalités** de données (images, textes, données numériques...)
- Différents **étiquetages**



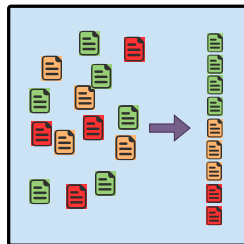
# Cadres en machine-learning



Classification



Regression

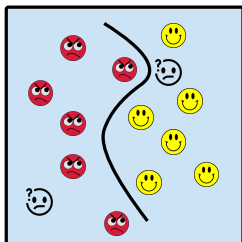


Ranking

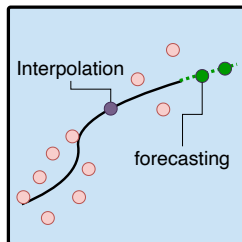
- Différentes **modalités** de données (images, textes, données numériques...)
- Différents **étiquetages**
- Différentes types de **prédictions**



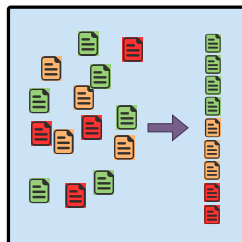
# Cadres en machine-learning



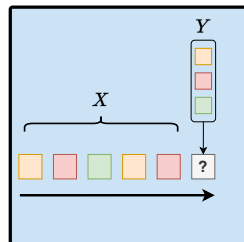
Classification



Regression



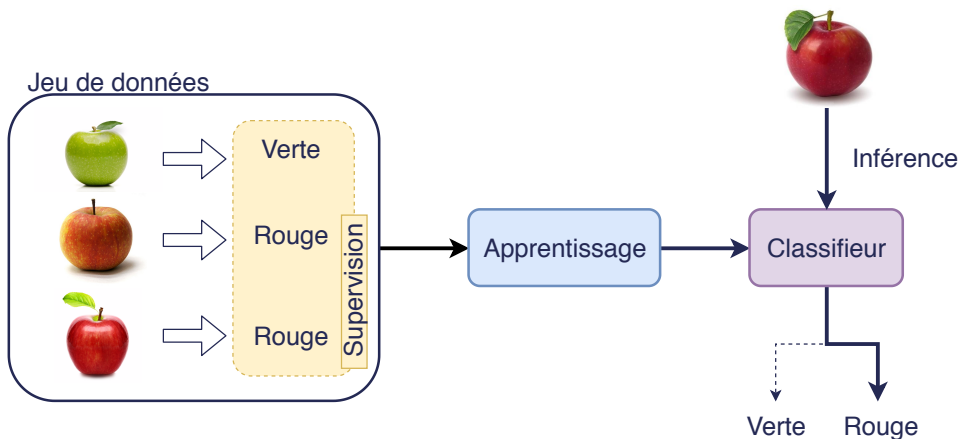
Ranking



Generative AI

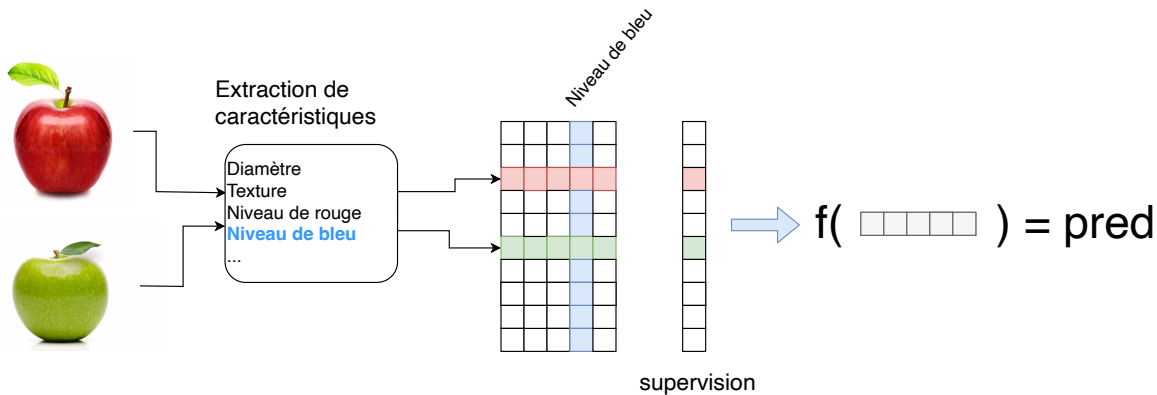
- Différentes **modalités** de données (images, textes, données numériques...)
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- Différentes types de **prédictions**

# Chaîne de traitements supervisée & modèles

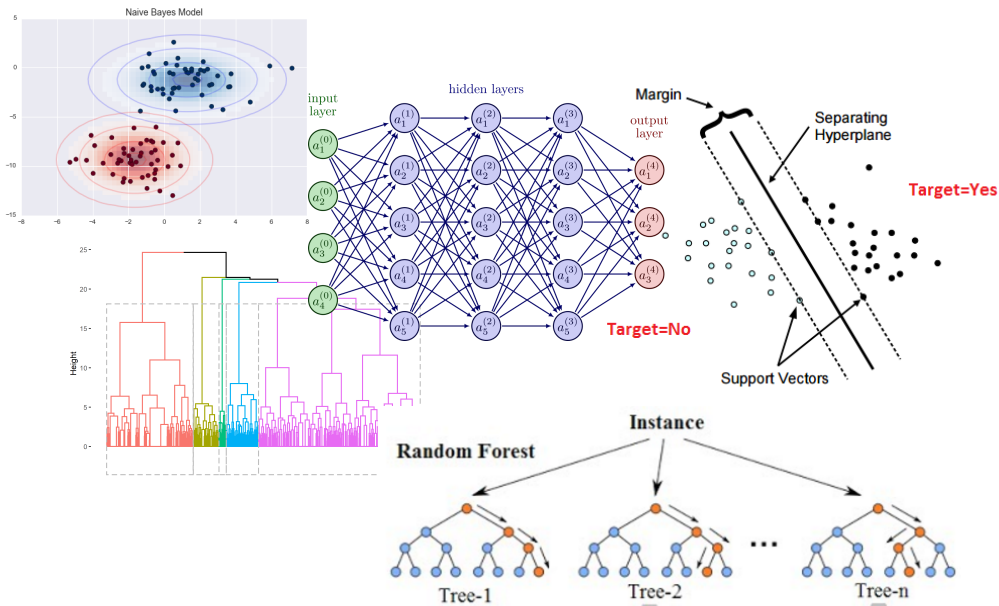


- Promesse = construire un modèle *uniquement* à partir des observations

# Chaîne de traitements supervisée & modèles

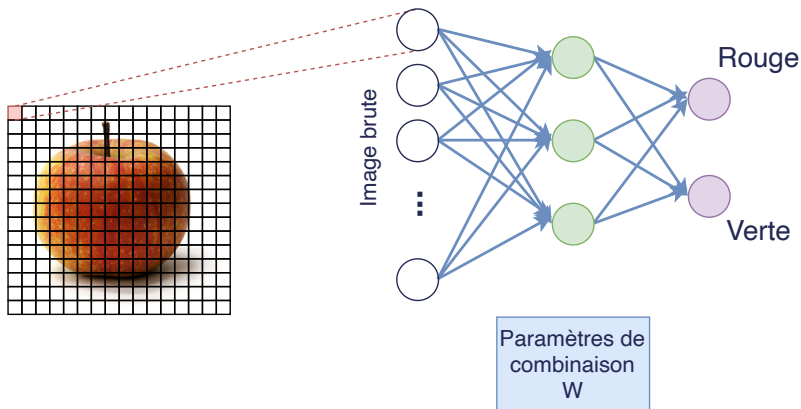


# Chaîne de traitements supervisée & modèles





# Chaîne de traitements supervisée & modèles



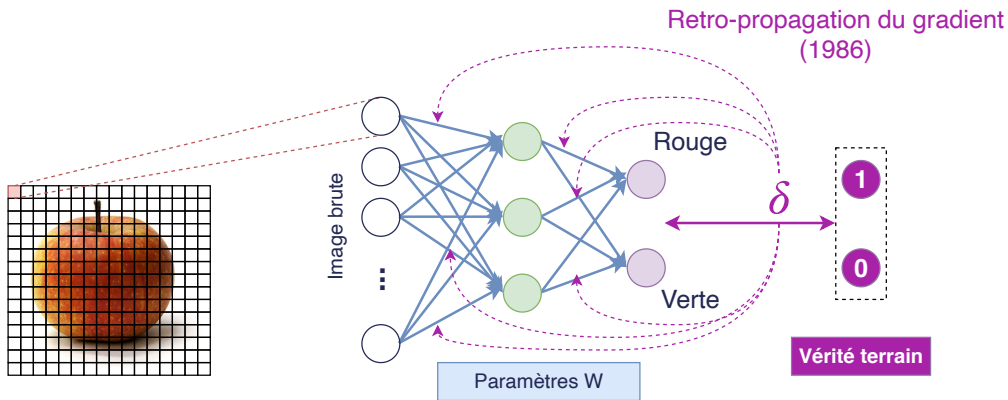
■ Initialisation aléatoire...

Et décision aléatoire (au début!)





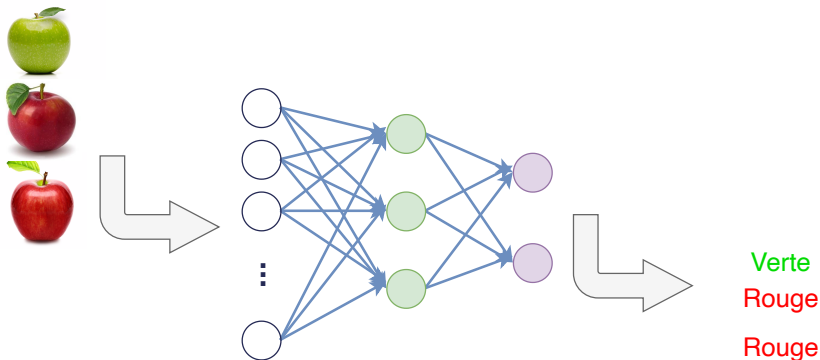
# Chaîne de traitements supervisée & modèles



- Mise à jour des poids
- Pas à pas epsilonques, nombreuses itérations sur les données



# Chaîne de traitements supervisée & modèles

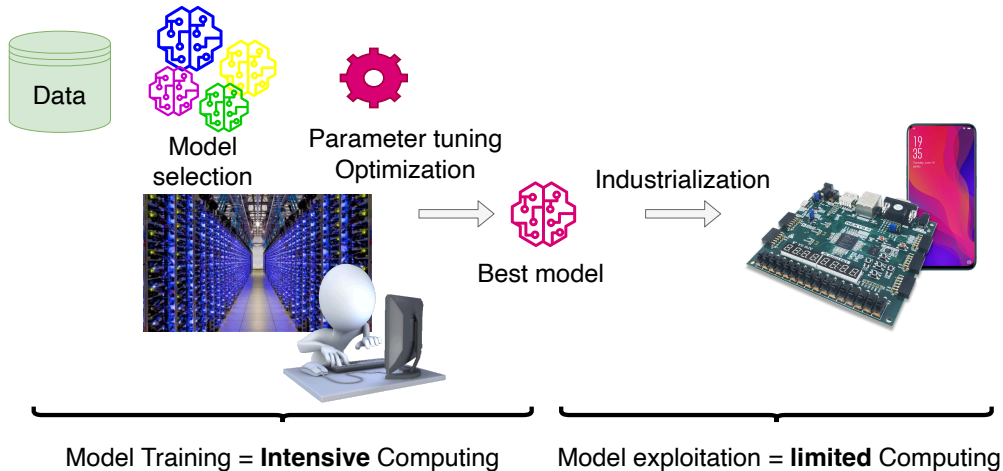


- **Apprentissage** lent et couteux
- **Inférence** (beaucoup plus) rapide



# Chaine de traitements supervisée & modèles

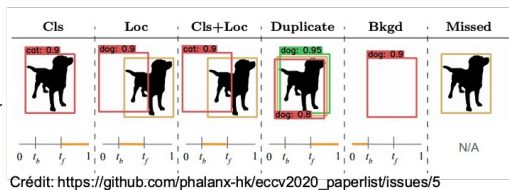
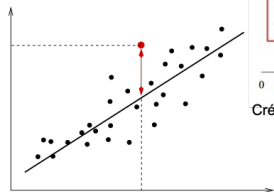
Différentes étapes en machine-learning



# Mesurer les performances

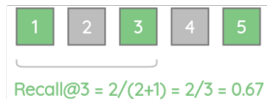
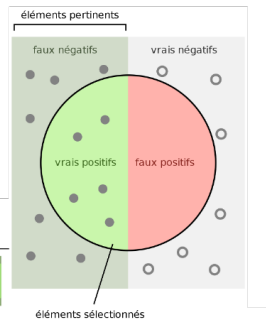
Estimer les performances (en généralisation)...

Est aussi important que l'apprentissage du modèle lui-même!

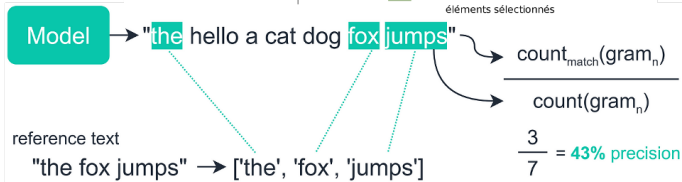


$$\text{Précision} = \frac{\text{vrais positifs}}{\text{vrais positifs} + \text{faux positifs}}$$

$$\text{Rappel} = \frac{\text{vrais positifs}}{\text{vrais positifs} + \text{vrais négatifs}}$$



Relevance	3	2	3	0	1
Position	1	2	3	4	5

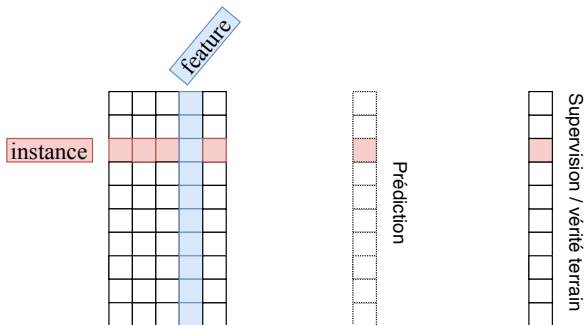




# Mesurer les performances

Estimer les performances (en généralisation)...

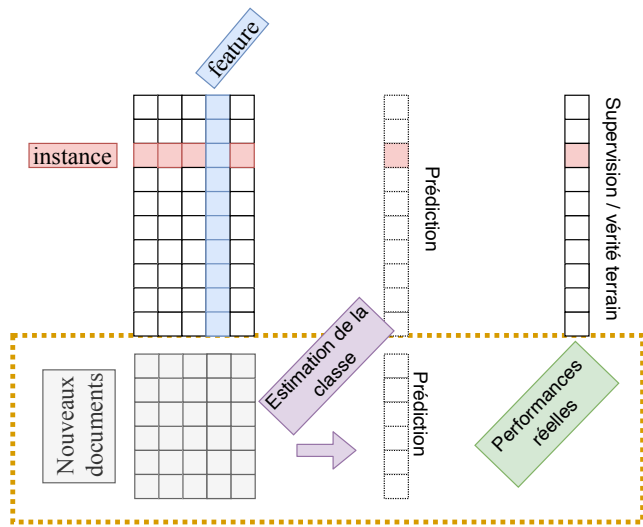
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# Mesurer les performances

Estimer les performances (en généralisation)...

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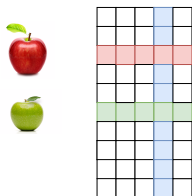
# DEEP-LEARNING & NLP<sup>\*</sup>

[<sup>\*</sup> TRAITEMENT AUTOMATIQUE DE LA LANGUE  
NATURELLE]



# From tabular data to text

- Tabular data
  - Fixed dimension
  - Continuous values



$$\rightarrow f( \boxed{\phantom{0}} \boxed{\phantom{0}} \boxed{\phantom{0}} \boxed{\phantom{0}} \boxed{\phantom{0}} ) = \text{pred}$$

- Textual data
  - Variable length
  - Discrete values

this new iPhone, what a marvel

An iPhone? What a scam!



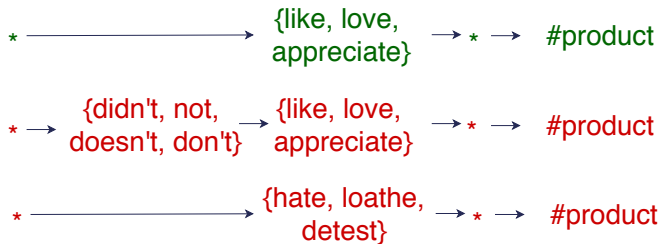


# AI + Textual Data: Natural Language Processing (NLP)

NLP = largest scientific community in AI

## Linguistics [1960-2010]

### Rule-based Systems:



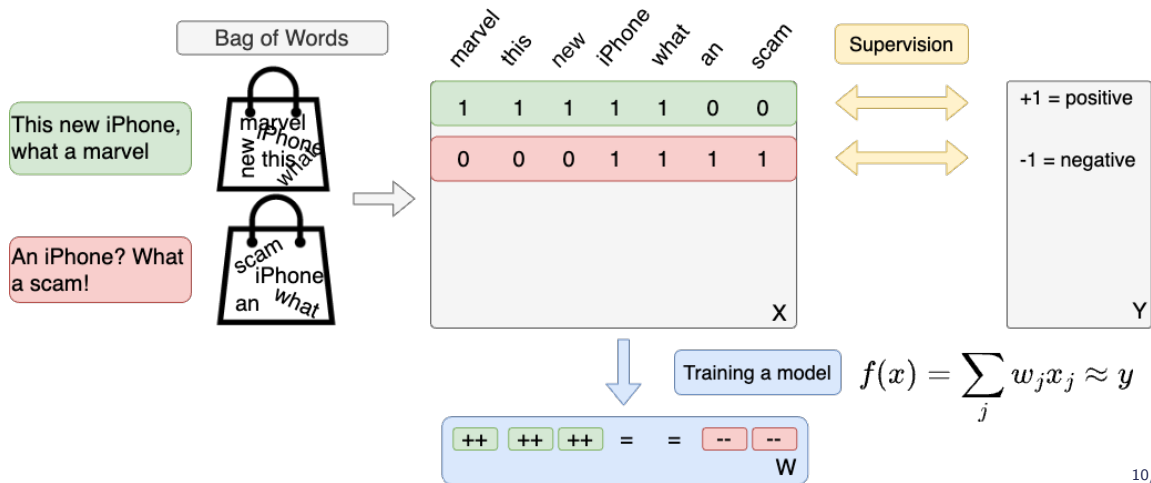
- Requires expert knowledge
- Rule extraction  $\Leftrightarrow$  very clean data
- Very high precision
- Low recall
- Interpretable system



# AI + Textual Data: Natural Language Processing (NLP)

NLP = largest scientific community in AI

## Machine Learning [1990-2015]





# AI + Textual Data: Natural Language Processing (NLP)

NLP = largest scientific community in AI

## Linguistics [1960-2010]

- Requires expert knowledge
- Rule extraction ⇔  
very clean data
- + Interpretable system
- + Very high precision
- Low recall

## Machine Learning [1990-2015]

- Little expert knowledge needed
- Statistical extraction ⇔  
robust to noisy data
- ≈ Less interpretable system
- Lower precision
- + Better recall

Precision = criterion for acceptance by industry

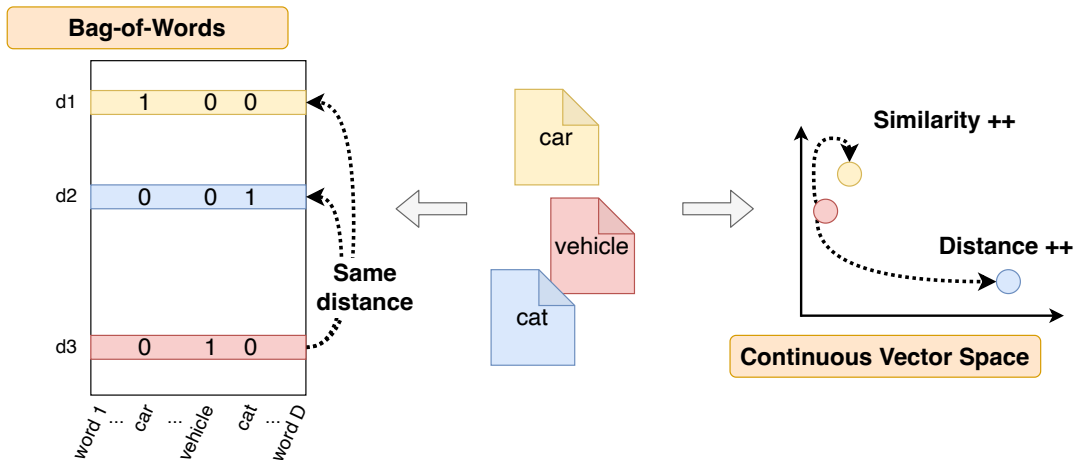
→ [Link to metrics](#)



# Deep/Representation Learning for Text Data

From Bag of Words to Vector Representations

[2008, 2013, 2016]

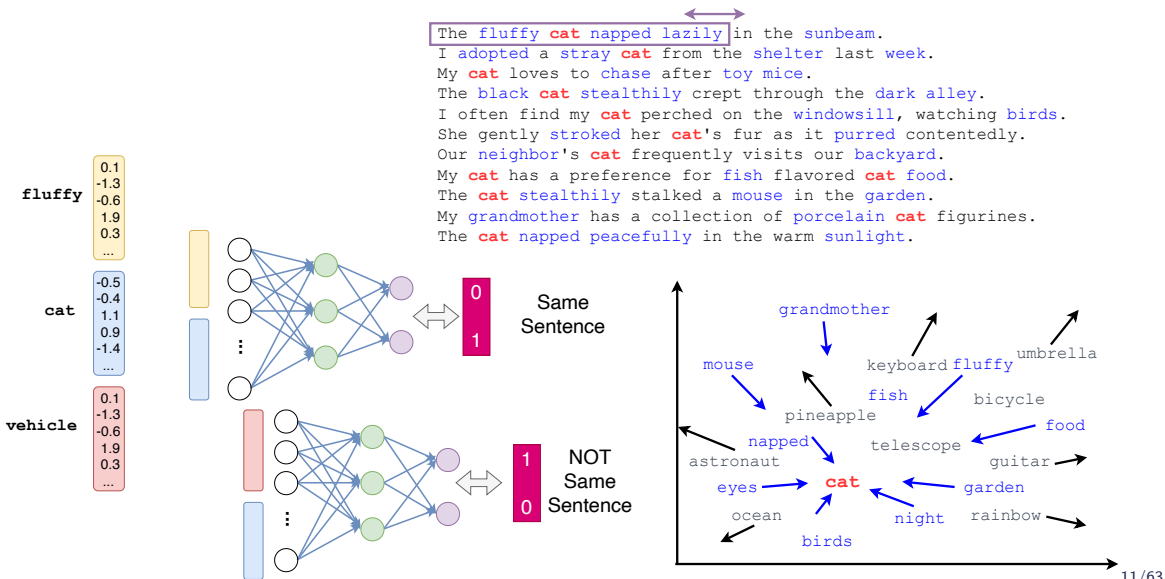


LeCun, Y., Bengio, Y., Hinton, G. (2015). [Deep learning](#). Nature, 521(7553), 436-444.

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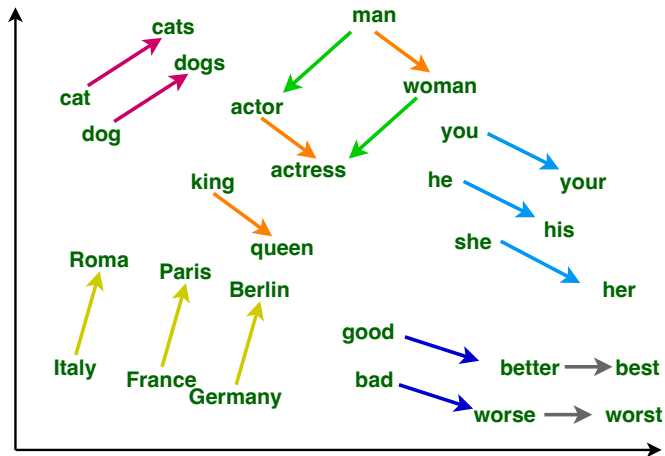




# Deep/Representation Learning for Text Data

From Bag of Words to Vector Representations

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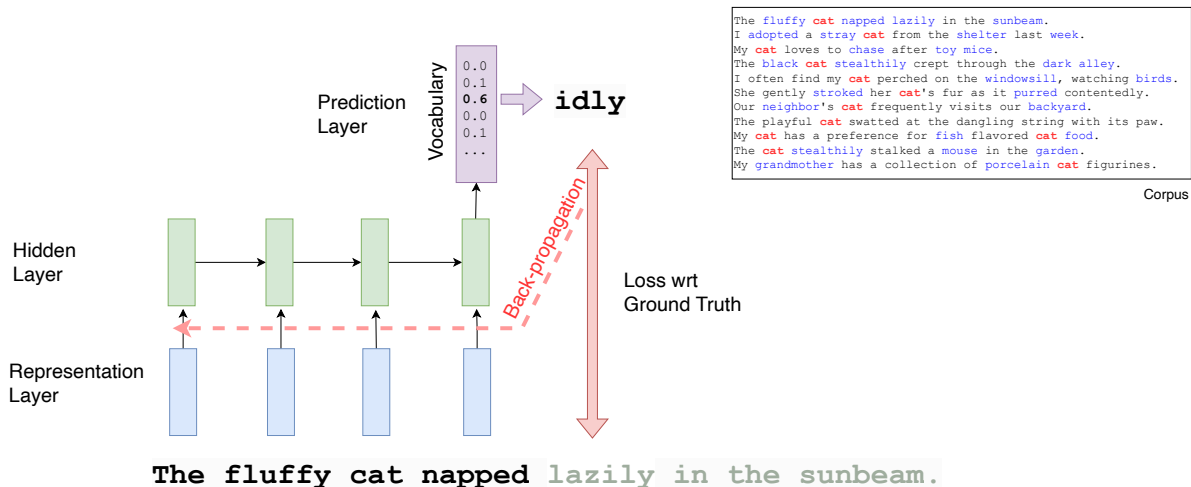


- Semantic Space:  
similar meaning  
 $\Leftrightarrow$   
close position
- Structured Space:  
grammatical regularities,  
basic knowledge, ...

Distributed representations of words and phrases and their compositionality, [Mikolov et al. NeurIPS 2013](#)

# Aggregating word representations: towards generative AI

- Generation & Representation
- New way of learning word positions





# Use-Case: Machine Translation

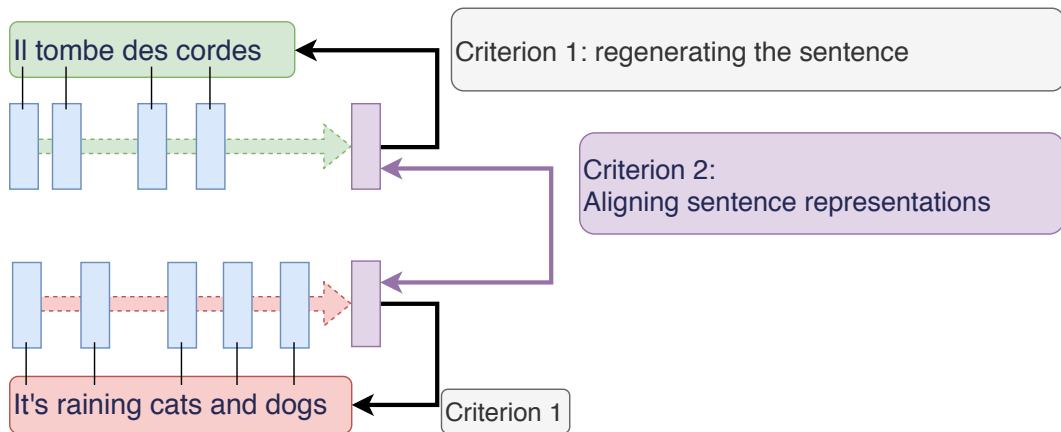


Beyond word-for-word translation, multilingual representation of sentences





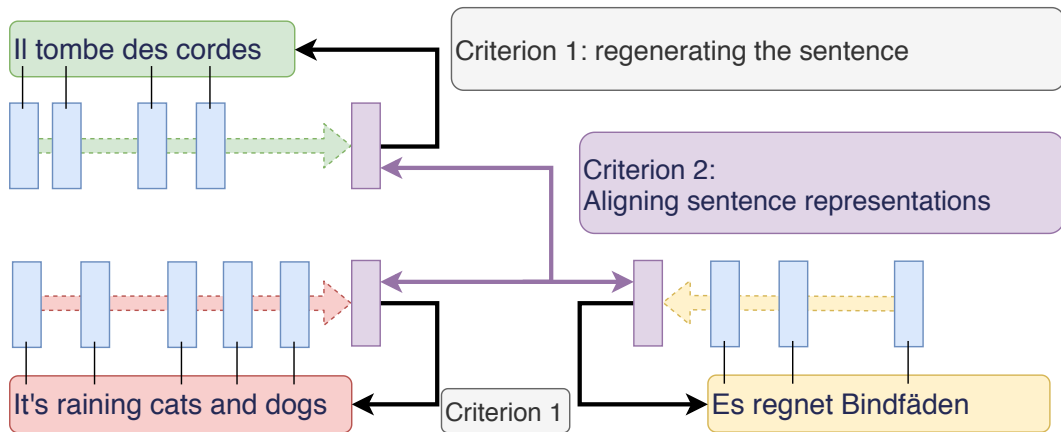
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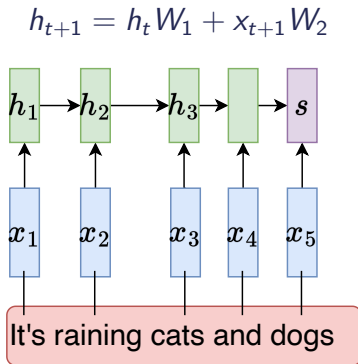
# Use-Case: Machine Translation



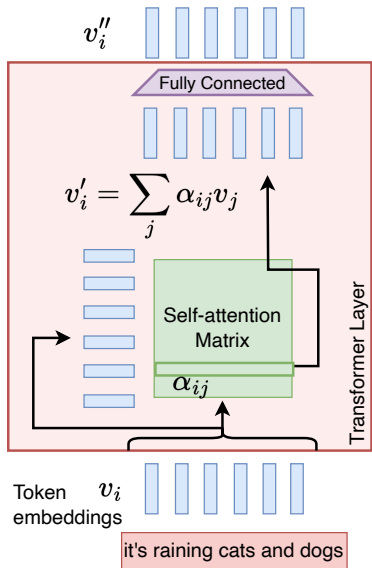
Beyond word-for-word translation, multilingual representation of sentences

# Transformer architecture: state-of-the-art aggregation

## Recurrent Neural Network:



## Transformer:

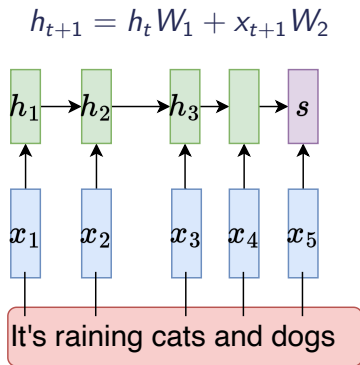


Attention is all you need, [Vaswani et al. NeurIPS 2017](#)

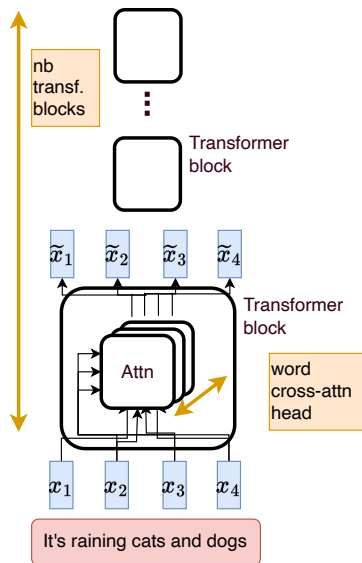
Sequence to Sequence Learning with Neural Networks, [Sutskever et al. NeurIPS 2014](#)

# Transformer architecture: state-of-the-art aggregation

## Recurrent Neural Network:



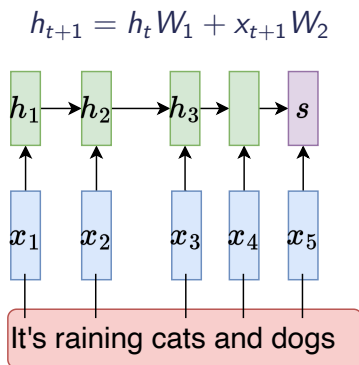
## Transformer:



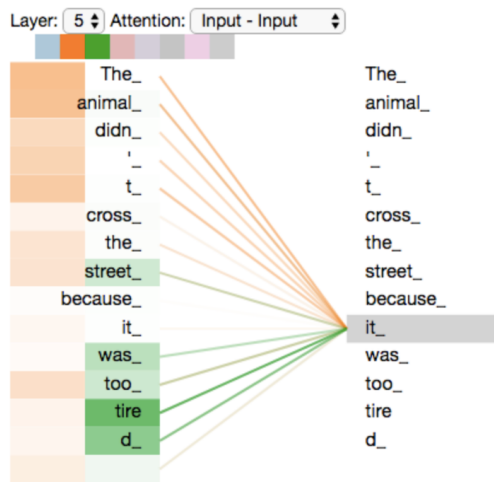


# Transformer architecture: state-of-the-art aggregation

## Recurrent Neural Network:



## Transformer:



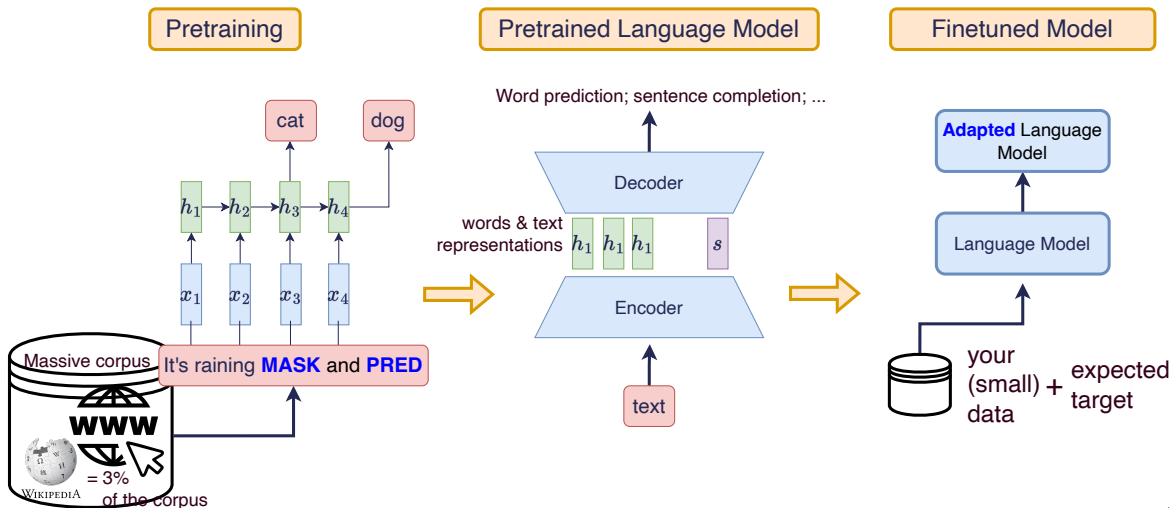
Attention is all you need, [Vaswani et al. NeurIPS 2017](#)

Sequence to Sequence Learning with Neural Networks, [Sutskever et al. NeurIPS 2014](#)



# A new development paradigm since 2015

- Huge dataset + huge archi.  $\Rightarrow$  unreasonable training cost
- Pre-trained architecture + 0-shot / finetuning



# CHATGPT

NOVEMBER 30, 2022

1 MILLION USERS IN 5 DAYS

100 MILLION BY THE END OF JANUARY 2023

1.16 BILLION BY MARCH 2023



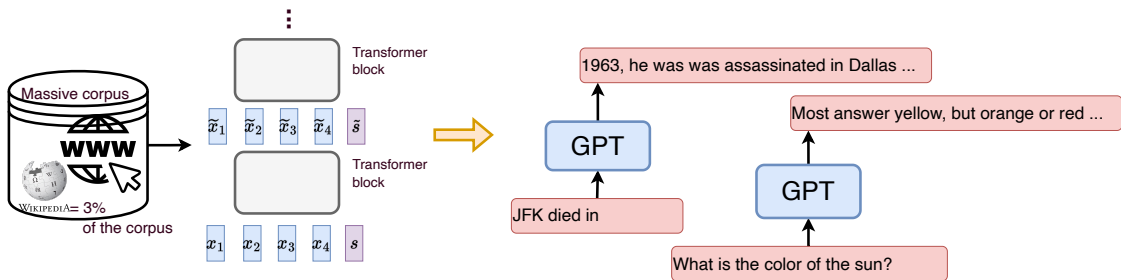
# The Ingredients of chatGPT

## 0. Transformer + massive data (GPT)

Huge  
+Filtered  
dataset

Huge  
Transformer  
architecture

Causal pretraining



- Grammatical skills: singular/plural agreement, tense concordance
- Knowledges





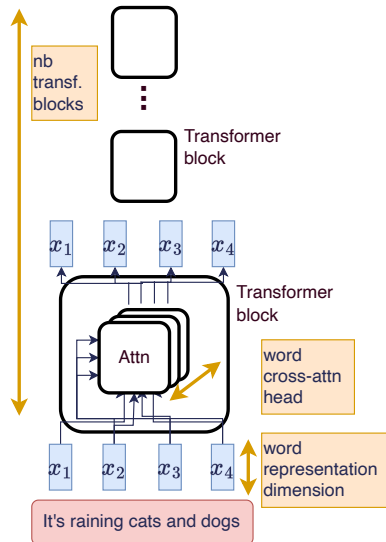
# The Ingredients of chatGPT

## 1. More is better! (GPT)

- + more input words [500  $\Rightarrow$  2k, 32k, 100k]
- + more dimensions in the word space [500-2k  $\Rightarrow$  12k]
- + more attention heads [12  $\Rightarrow$  96]
- + more blocks/layers [5-12  $\Rightarrow$  96]

## 175 Billion parameters... What does it mean?

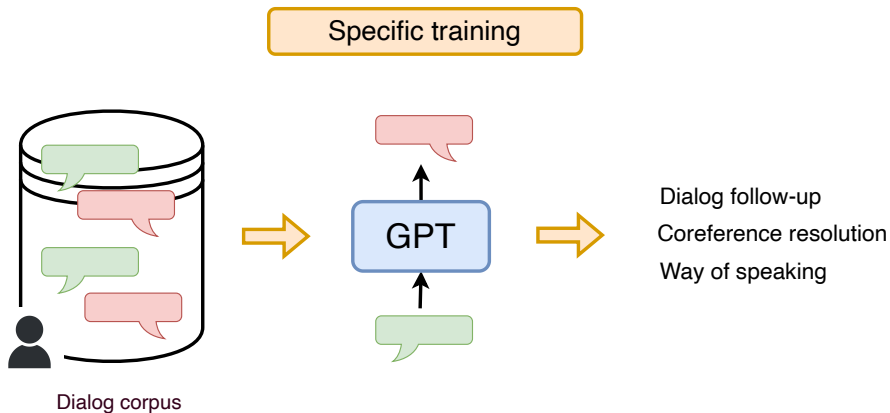
- $1.75 \cdot 10^{11} \Rightarrow 300 \text{ GB} + 100 \text{ GB}$  (data storage for inference)  $\approx 400\text{GB}$
- NVidia A100 GPU = 80GB of memory (=20k€)
- Cost for (1) training: 4.6 Million €





# The Ingredients of chatGPT

## 2. Dialogue Tracking

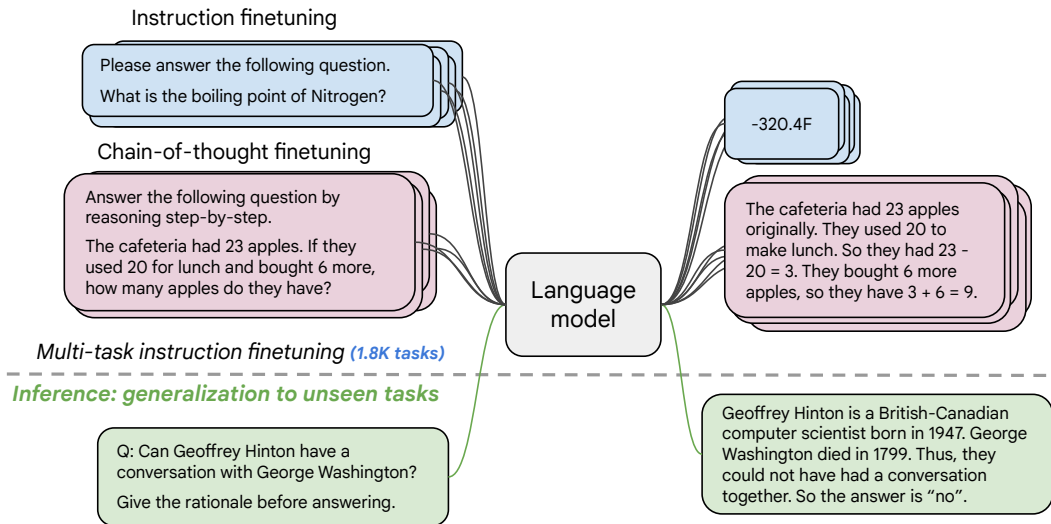


■ **Very clean data**

Data generated/validated/ranked by humans

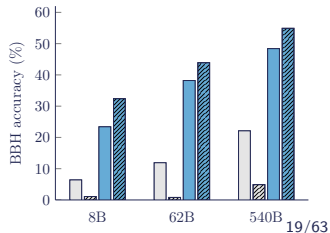
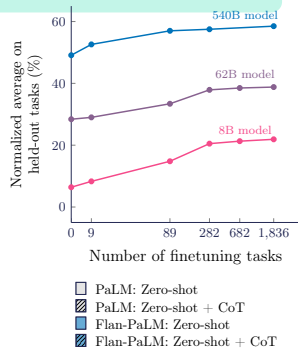
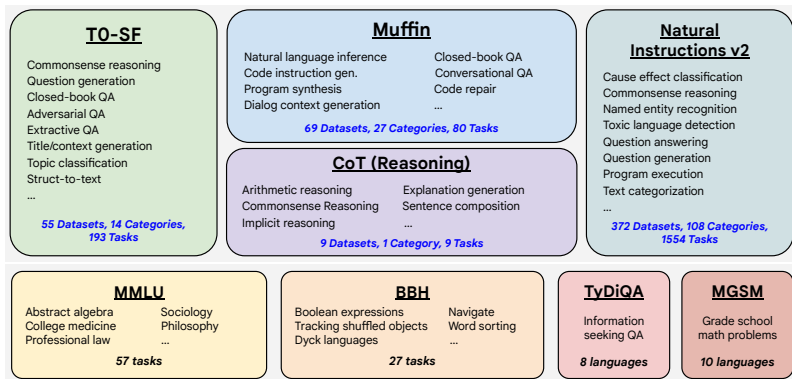
# The Ingredients of chatGPT

## 3. Fine-tuning on different ( $\pm$ ) complex reasoning tasks



# The Ingredients of chatGPT

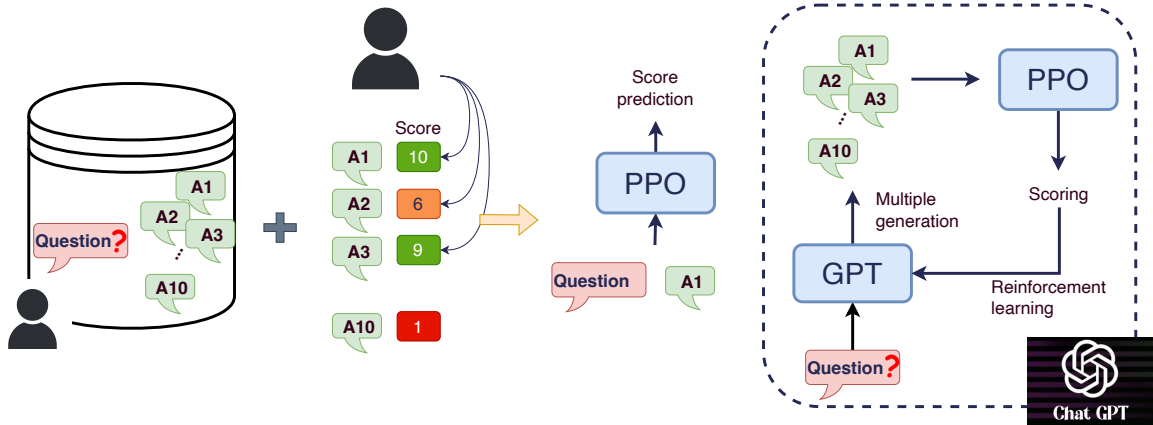
## 3. Fine-tuning on different ( $\pm$ ) complex reasoning tasks





# The Ingredients of chatGPT

## 4. Instructions + answer ranking



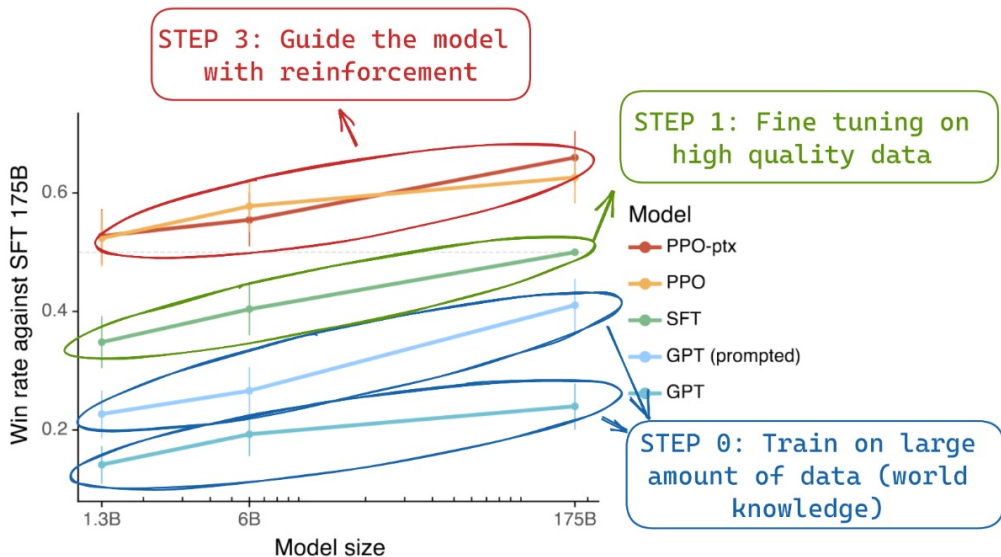
- Database created by humans
- Response improvement

- ... Also a way to avoid critical topics = censorship



# Steps & Performance

Massive data  $\Rightarrow$  HQ data (dialogue)  $\Rightarrow$  Tasks  $\Rightarrow$  RLHF

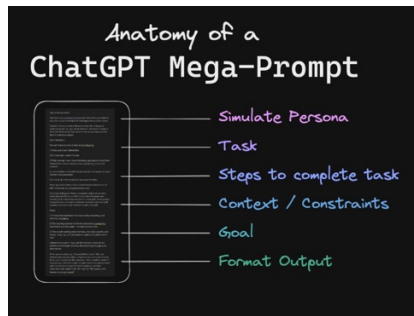




# Usage of chatGPT & Prompting

- Asking chatGPT = skill to acquire ⇒ *prompting*
  - Asking a question well: ... *in detail*, ... *step by step*
  - Specify number of elements e.g. : *3 qualities for ...*
  - Provide context : *cell* for a biologist / legal assistant
- Don't stop at the first question
  - Detail specific points
  - Redirect the research
  - Dialogue
- Rephrasing
  - Explain like I'm 5, like a scientific article, bro style, ...
  - Summarize, extend
  - Add mistakes (!)

⇒ Need for **practice** [1 to 2 hours], discuss with colleagues

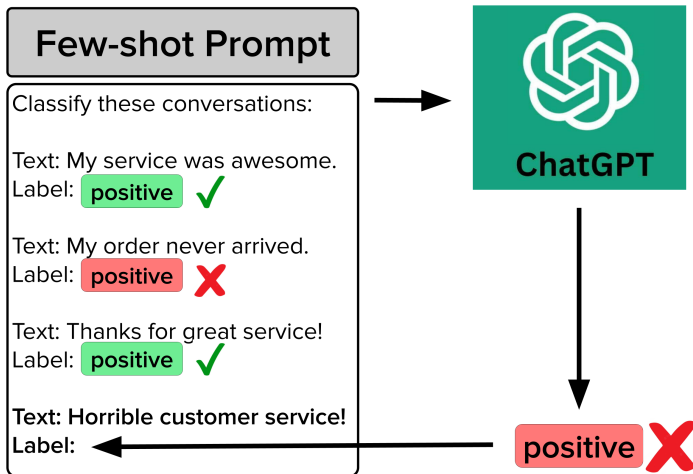


<https://chatgptprompts.guru/what-makes-a-good-chatgpt-prompt/>



# Towards *few-shot learning*

- Learning without modifying the model = examples in the prompt



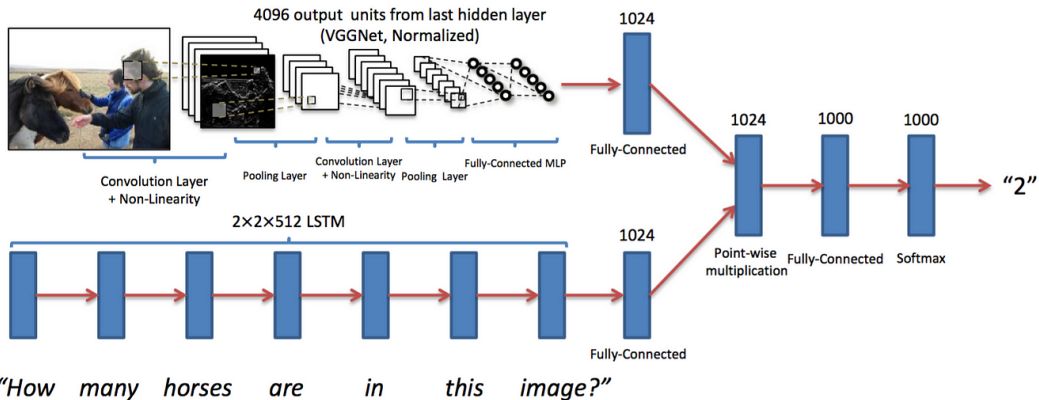




# GPT4 & Multimodality

**Merging** information from text & image. **Learning** to exploit information jointly

*The example of VQA: visual question answering*



⇒ Backpropagate the error ⇒ modify word representations + image analysis

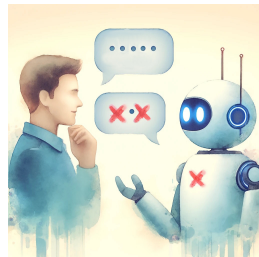


VQA: Visual Question Answering, arXiv, 2016, A. Agrawal et al.



# Why So Much Controversy?

- New tool [December 2022]
- + Unprecedented adoption speed [1M users in 5 days]
- Strengths and weaknesses... Poorly understood by users
  - Significant productivity gains
  - Surprising / sometimes absurd uses
  - Bias / dangerous uses / risks
- Misinterpreted feedback
  - Anthropomorphization of the algorithm and its errors
- Prohibitive cost: what economic, ecological, and societal model?



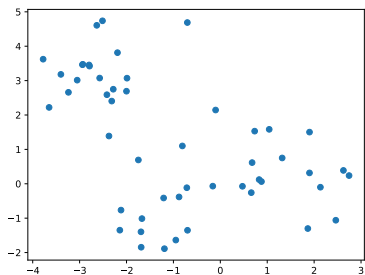
# FROM GENERATIVE AI TO FOUNDATION MODELS



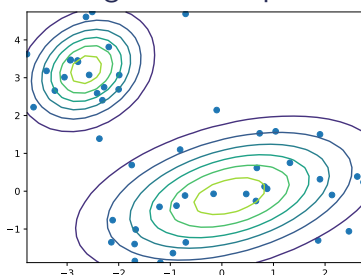
# At the origin of statistical modeling

- 1 **Observing** data (and context)
- 2 **Modeling** = Choosing probabilistic model / bayesian network
- 3 **Optimize** parameters (Max. Likelihood, EM, BFGS, ...)
- 4 **Sampling** / Inference + Evaluate distances : existing vs sampled

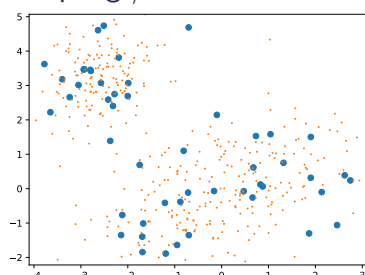
Observations



Modeling: choice+optim.



Sampling / eval.

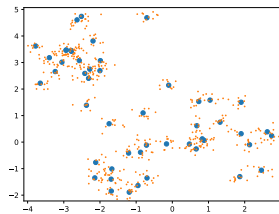
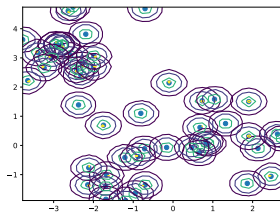
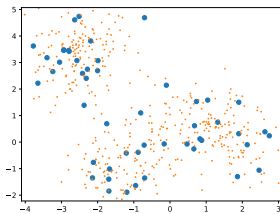
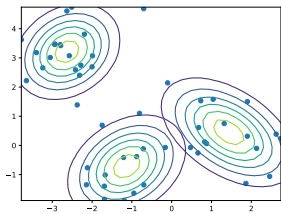




# At the origin of statistical modeling

- 1 **Observing** data (and context)
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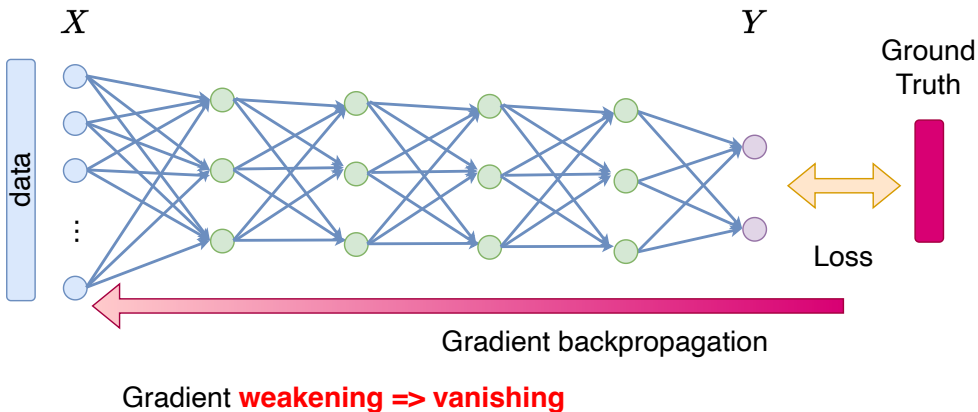
Different modeling options / different traps





# At the origin of deep learning

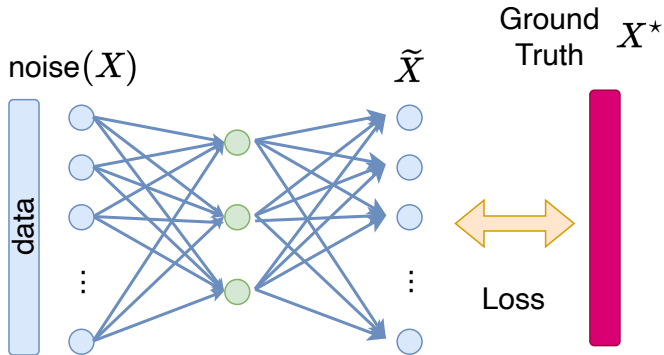
- Gradient vanishing issue in deep architecture





# At the origin of deep learning

- Gradient vanishing issue in deep architecture
- Auto-Encoder architecture / facing unsupervised dataset with NN



- Denoising
- Low dimensional representation learning (/ PCA, SVD)

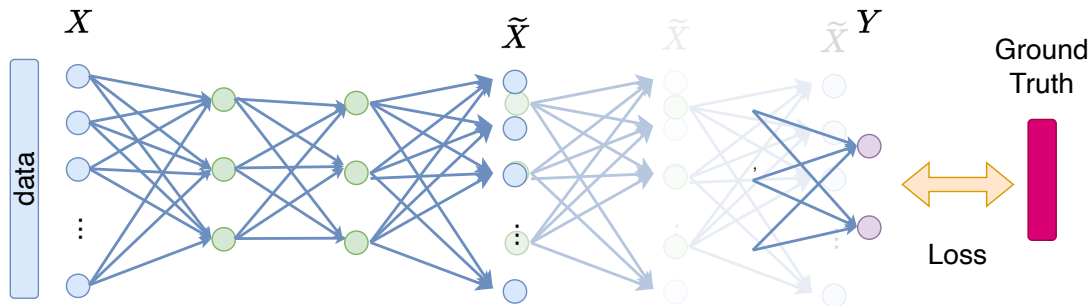


*Auto-association by multilayer perceptrons and singular value decomposition*, Biological Cybernetics, 1988  
H. Bourlard & Y. Kamp



# At the origin of deep learning

- Gradient vanishing issue in deep architecture
- Auto-Encoder architecture / facing unsupervised dataset with NN
- Stacked Denoising Auto-Encoder : iterative training / **pretraining**

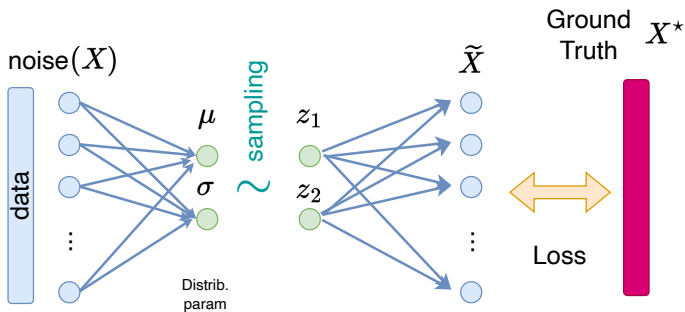


*The difficulty of training deep architectures and the effect of unsupervised pre-training*, AIS, PMLR 2009  
 Erhan, D., Manzagol, P. A., Bengio, Y., Bengio, S., & Vincent, P.





# Variational Auto-Encoder



- a priori on the distribution
- Structuring of the latent space

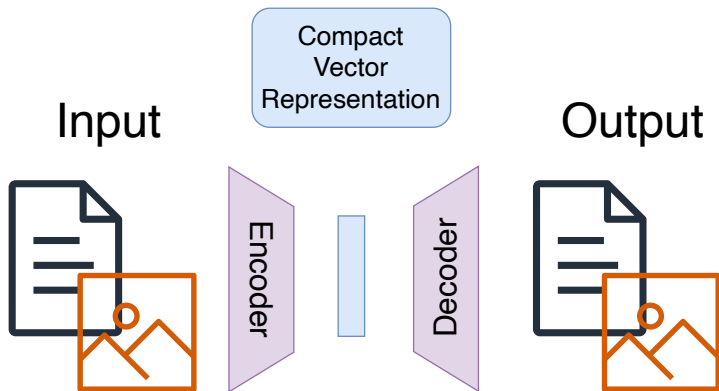
Generative AI (for statisticians)



*Auto-Encoding Variational Bayes*, 2013  
DP Kingma



# Different Forms of Generative AI

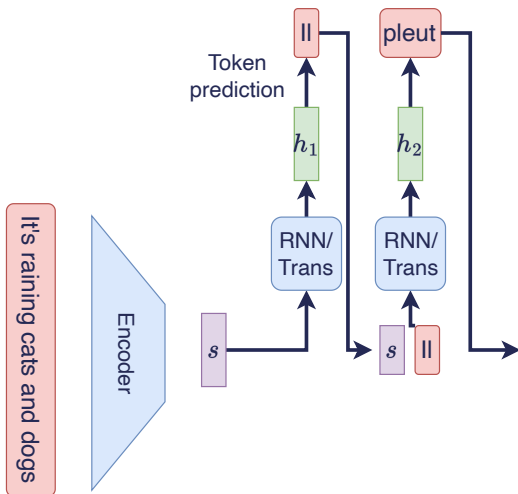


- 1 Encode an input = construct a vector
- 2 Decode a vector = *generate* an output



# Different Media / Different Architectures

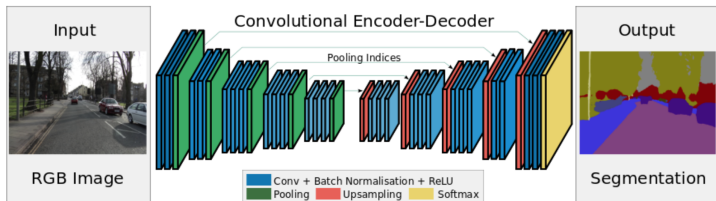
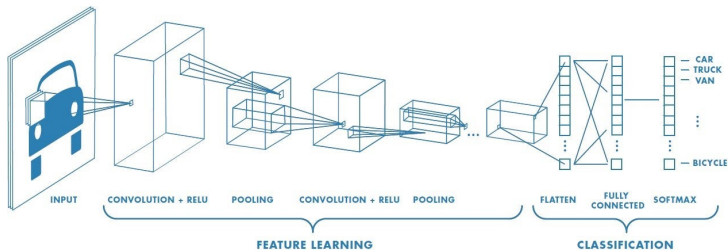
- Texts: classification problem





# Different Media / Different Architectures

- Texts: classification problem
- Images: multivariate regression problem



*U-Net: Convolutional Networks for Biomedical Image Segmentation*, MICCAI, 2015  
Ronneberger et al.

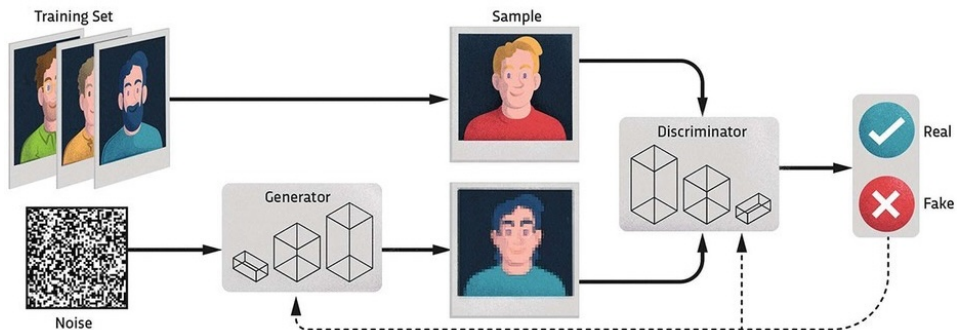
NVidia Lab.



# Different Media / Different Architectures

- Texts: classification problem
- Images: multivariate regression problem

Generative Adversarial Networks (GAN): detecting generated samples

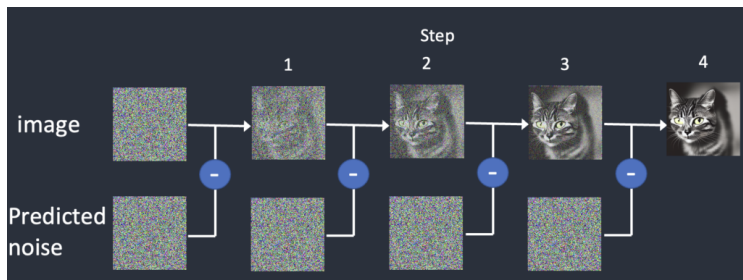


*Generative Adversarial Nets*, NeurIPS 2014  
Goodfellow et al.

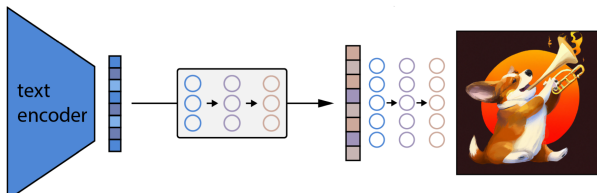


# Different Media / Different Architectures

- Texts: classification problem
- Images: multivariate regression problem
- Physical processes



"a corgi  
playing a  
flame  
throwing  
trumpet"



*Denoising Diffusion Probabilistic Models*, NeurIPS, 2020  
Ho, J., Jain, A., & Abbeel, P.



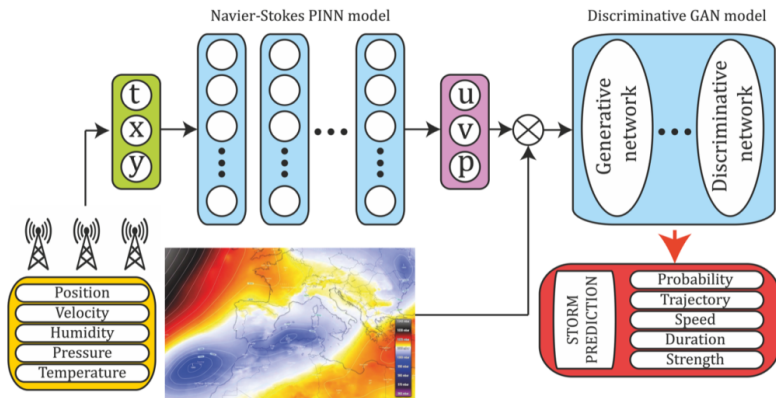
*Hierarchical Text-Conditional Image Generation with CLIP Latents*, arXiv, 2022  
Ramesh et al.



# Different Media / Different Architectures

- Texts: classification problem
- Images: multivariate regression problem
- Physical processes
- Complex structures / 3D / graphs: sequential problem
- Mix mechanistic and *data-driven* approaches

e.g. Model differential equations in a neural network



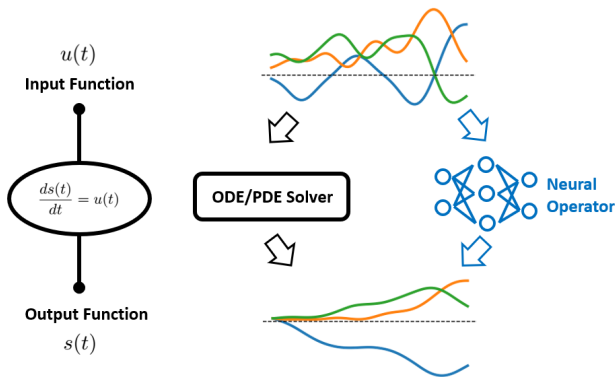
*Neural ordinary differential equations*, NeurIPS, 2018  
Chen et al.

*Physics-informed neural networks*  
J. Comp. Physics, 2019  
Raissi et al.



# Different Media / Different Architectures

- Texts: classification problem
- Images: multivariate regression problem
- Physical processes
- Complex structures / 3D / graphs: sequential problem



Data + Models :

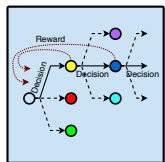
- PDE, neural ODE
- Simulation approximations
- Residual Models
- Hybrid Complex Systems



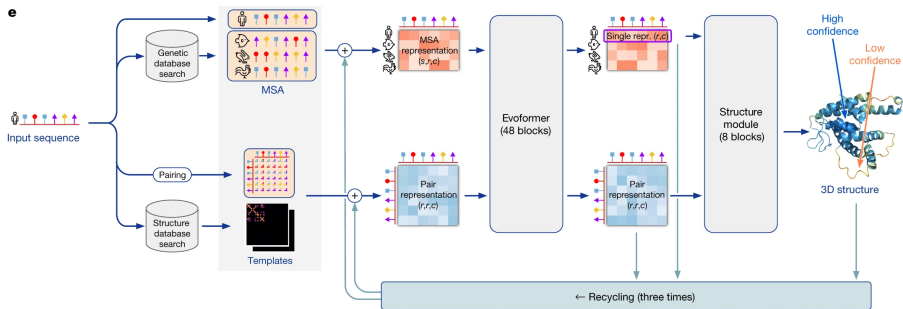


# Different Media / Different Architectures

- Texts: classification problem
- Images: multivariate regression problem
- Physical processes
- Complex structures / 3D / graphs: sequential problem
- Reinforcement learning: action/reward



Apprentissage par renforcement

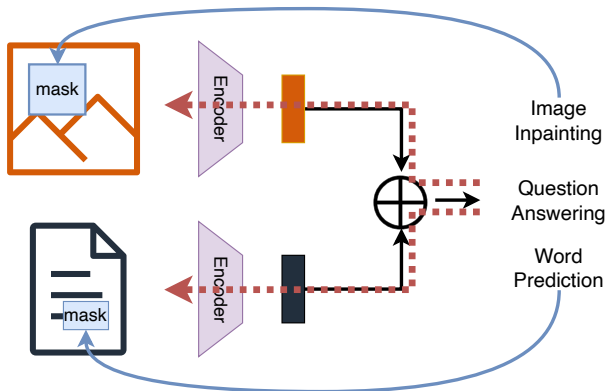


*Highly accurate protein structure prediction with AlphaFold*, Nature, 2021  
Jumper et al.



# Multi-Modality

- Construction of multimodal representation spaces = *grounding*
- Image  $\Rightarrow$  Text: *Captioning, Visual Question Answering*
- Text  $\Rightarrow$  Image: *mid-journey, dall-e, ...*



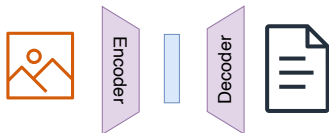
## Alignment of representation spaces

<i>Word</i>	<i>Teraword</i>	<i>Knext</i>
Spoke	11,577,917	372,042
Laughed	3,904,519	179,395
Murdered	2,843,529	16,890
Inhaled	984,613	5,617
Breathed	725,034	41,215



# Multi-Modality

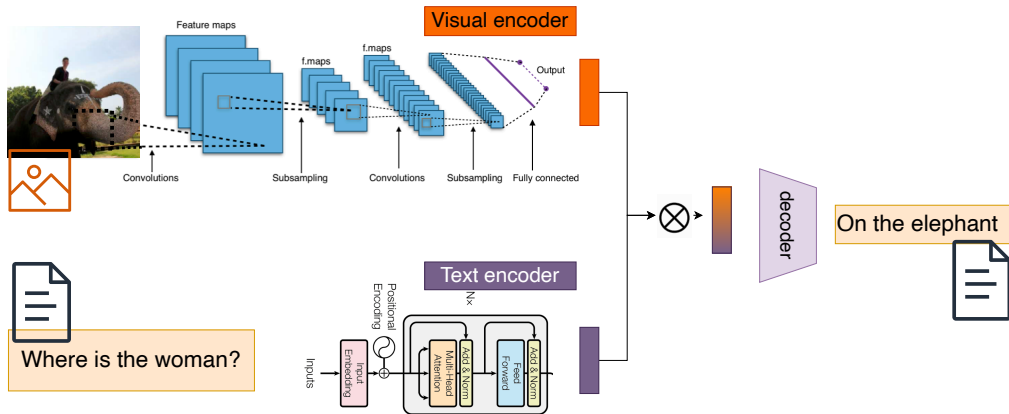
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# Multi-Modality

- Construction of multimodal representation spaces = *grounding*
- Image  $\Rightarrow$  Text: *Captioning, Visual Question Answering*
- Text  $\Rightarrow$  Image: *mid-journey, dall-e, ...*



Encoder



Decoder



TEXT DESCRIPTION

An astronaut riding a horse  
Teddy bears A bowl  
of soup

riding a horse lounging in a tropical  
resort in space playing basketball  
with cats in space

in a photorealistic style in the style  
of Andy Warhol as a pencil drawing



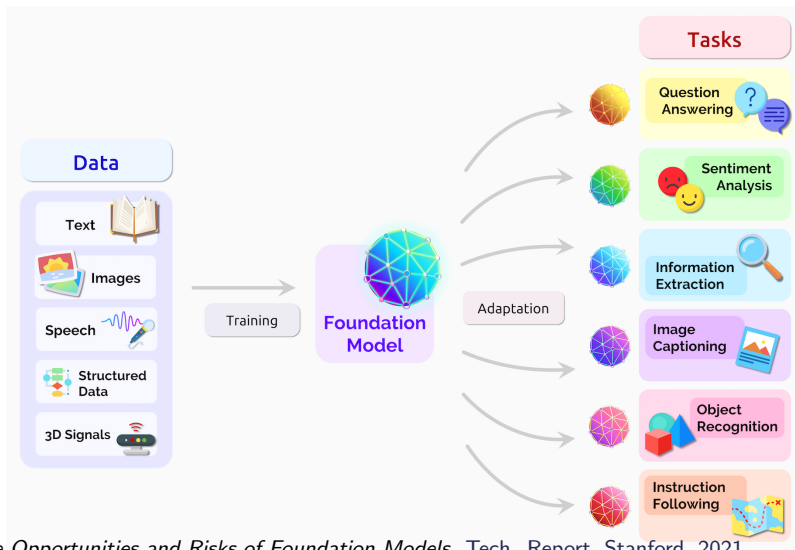
DALL-E 2





# Towards Larger Foundation Models?

- Let the modalities enrich each other



*On the Opportunities and Risks of Foundation Models*, Tech. Report, Stanford, 2021  
Bommasani et al.



# Conclusion

## The main challenges of multimodality

- New applications
  - at the interface between text, image, music, voice, ...
- Performance improvement
  - Better encoding, disambiguation, context encoding
- Explainability (through dialogue)
  - IoT / RecSys / Intelligent Vehicle / ...



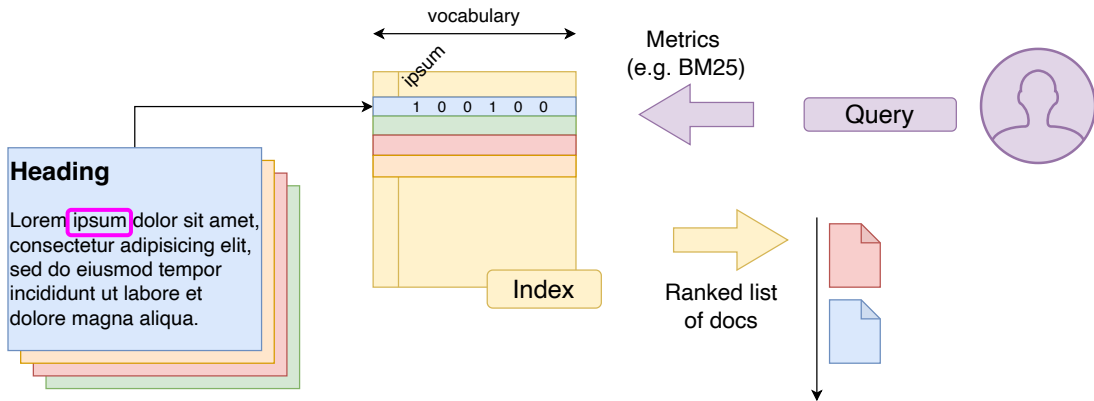
Dall-e

# LARGE LANGUAGE MODELS USES





# LLM & Information Retrieval

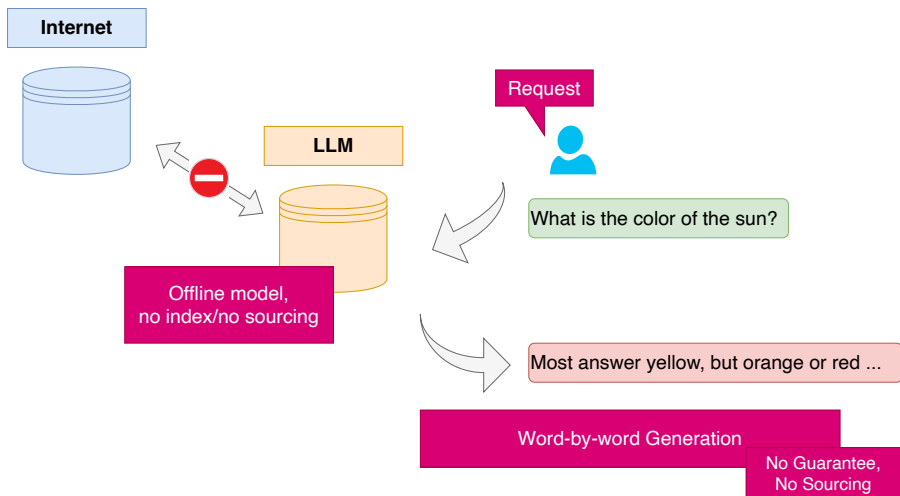




# Information access: from word index to RAG

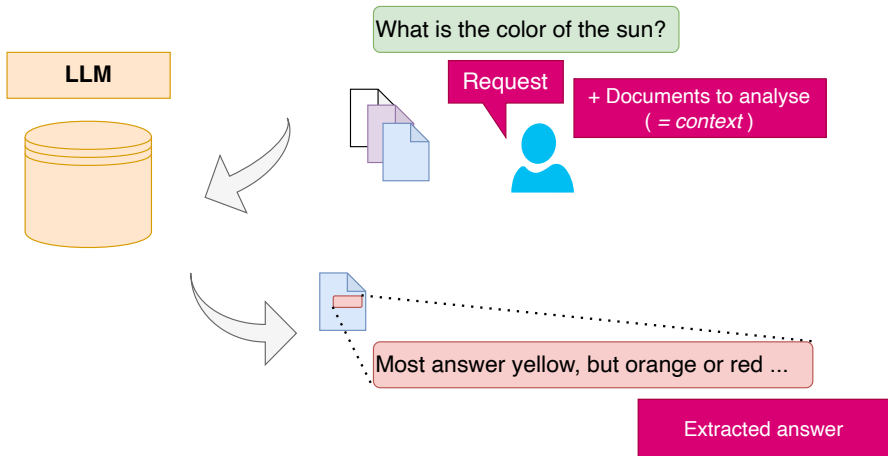
- Asking for information from ChatGPT... A surprising use!
- But is it reasonable?

[Real Open Question (!)]





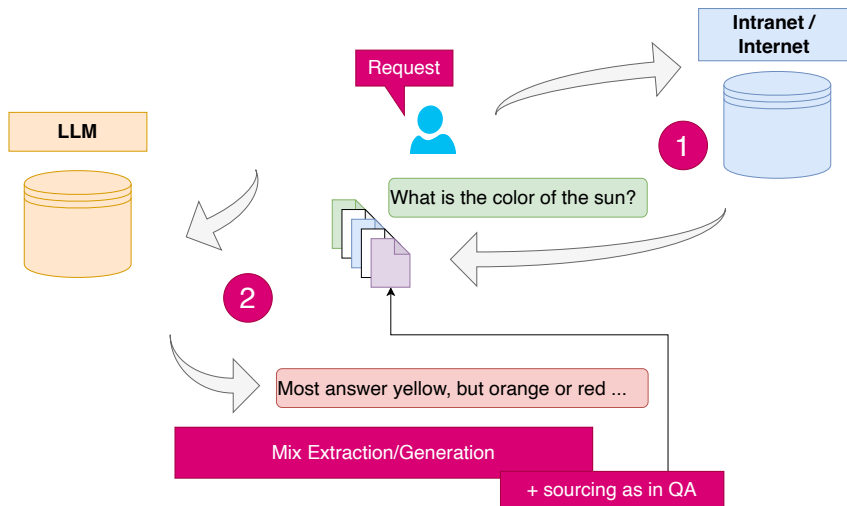
# Information access: from word index to RAG



- Web query + analysis, automatic summary, rephrasing, meeting reports...
- (Current) limit on input size (2k then 32k tokens)
- = *pre chatGPT use of LLM for question answering*



# Information access: from word index to RAG

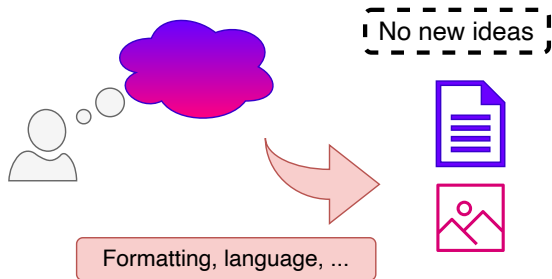


- RAG: Retrieval Augmented Generation
- (Current) limit on input size (2k then 32k tokens)



# Other Uses of Generative AIs

## A fantastic tool for **formatting**



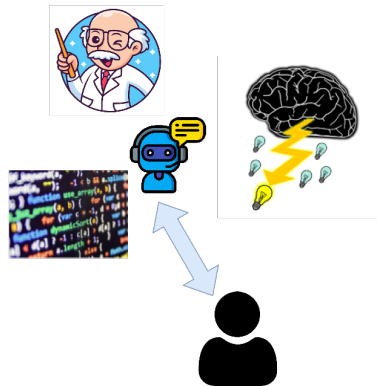
- Personal assistant
  - Standard letters, recommendation letters, cover letters, termination letters
  - Translations
- Meeting reports
  - Formatting notes
- Writing scientific articles
  - Writing ideas, in French, in English
- Document analysis
  - Information extraction, question-answering, ...



# Other Uses of Generative AIs

## And a tool for **reflection!**

- Information Access
  - Risky but so convenient
- Brainstorming
  - Argument development, contradiction search
- Assistant for software development
  - Code generation, error search, ...
  - Documentation
- Educational assistant
  - Wikipedia ++, proposal of outlines for essays,
  - Code explanation / correction proposals





# Run an LLM locally

- LLM are huge and costly (both in computation & memory)
  - ... But they have been dramatically optimized !
    - Quantization, pruning...
- ⇒ They can run locally on your machine
- ⇒ Offline translation, demonstration, ...

Simple solution: ollama: <https://ollama.com>





# Run an LLM locally

Here are some example models that can be downloaded:

Model	Parameters	Size	Download
Llama 3	8B	4.7GB	<code>ollama run llama3</code>
Llama 3	70B	40GB	<code>ollama run llama3:70b</code>
Phi 3 Mini	3.8B	2.3GB	<code>ollama run phi3</code>
Phi 3 Medium	14B	7.9GB	<code>ollama run phi3:medium</code>
Gemma 2	9B	5.5GB	<code>ollama run gemma2</code>
Gemma 2	27B	16GB	<code>ollama run gemma2:27b</code>
Mistral	7B	4.1GB	<code>ollama run mistral</code>
Moondream 2	1.4B	829MB	<code>ollama run moondream</code>
Neural Chat	7B	4.1GB	<code>ollama run neural-chat</code>
Starling	7B	4.1GB	<code>ollama run starling-1m</code>
Code Llama	7B	3.8GB	<code>ollama run codellama</code>
Llama 2 Uncensored	7B	3.8GB	<code>ollama run llama2-uncensored</code>
LLaVA	7B	4.5GB	<code>ollama run llava</code>
Solar	10.7B	6.1GB	<code>ollama run solar</code>

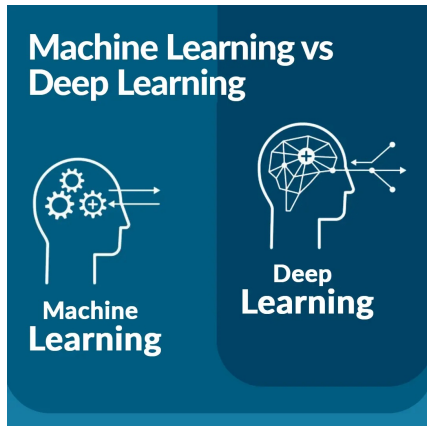
Note: You should have at least 8 GB of RAM available to run the 7B models, 16 GB to run the 13B models, and 32 GB to run the 33B models.





# IA générative vs IA classique

- Prédiction de séries temporelles, maintenance prédictive
- Prédiction des prix (voitures, immobilier, ...)
- Diagnostic médical sur des données numériques, EEG, ECG, ...
- Systèmes de recommandation



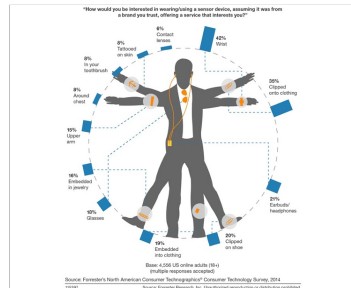
Why do tree-based models still outperform deep learning on typical tabular data?

L Grinsztajn, E Oyallon, G Varoquaux, NeurIPS 22



# Des très nombreuses application d'IA embarquée

## 1 Bracelet connecté, vêtements, lunettes

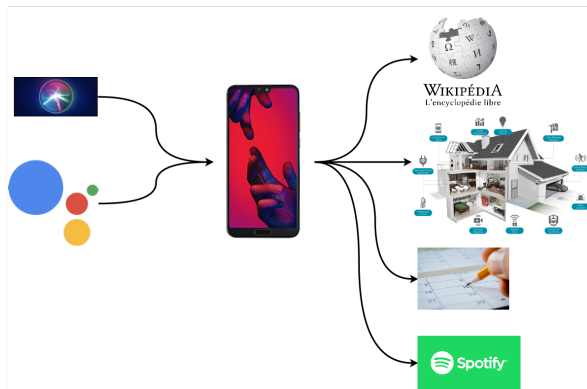


- Séries temporelles, diagnostic, recherche d'anomalie
- Médecine ou gadget?



# Des très nombreuses application d'IA embarquée

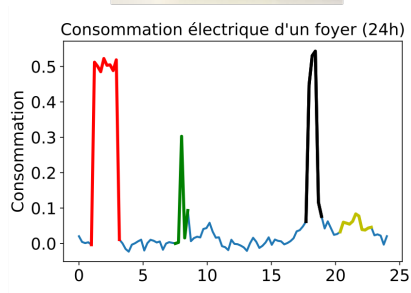
- 1 Bracelet connecté, vêtements, lunettes
- 2 Assistant intelligent, Chatbot





# Des très nombreuses application d'IA embarquée

- 1 Bracelet connecté, vêtements, lunettes
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- 3 Compteur intelligent (e.g. Linky)





# Des très nombreuses application d'IA embarquée

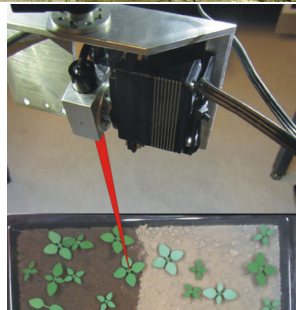
- 1 Bracelet connecté, vêtements, lunettes
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- 3 Compteur intelligent (e.g. Linky)
- 4 Cabine télémédecine





# Des très nombreuses application d'IA embarquée

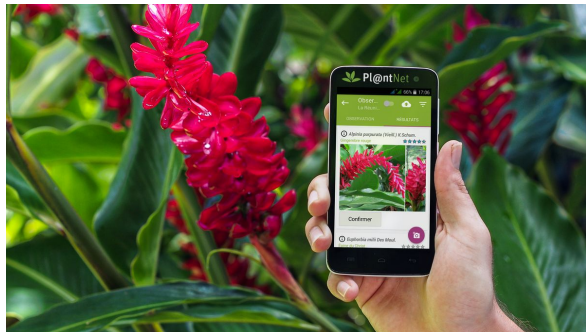
- 1 Bracelet connecté, vêtements, lunettes
- 2 Assistant intelligent, Chatbot
- 3 Compteur intelligent (e.g. Linky)
- 4 Cabine télémédecine
- 5 Robotique





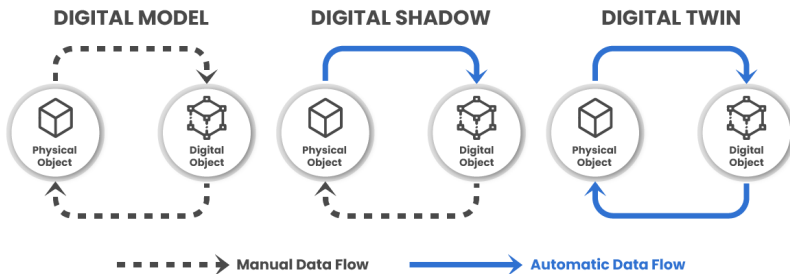
# Des très nombreuses application d'IA embarquée

- 1 Bracelet connecté, vêtements, lunettes
- 2 Assistant intelligent, Chatbot
- 3 Compteur intelligent (e.g. Linky)
- 4 Cabine télémédecine
- 5 Robotique
- 6 ... Et plein d'autres choses !  
Smartphone?





# Définition(s) des jumeaux numériques & PINNs



- Optimiser les décisions de gestion du jumeau réel en temps réel  
(lien capteurs / actionneurs)
- Réaliser des expériences numériques  $\Rightarrow$  tester les conséquences des modifications avant de les mettre en œuvre.





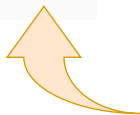
# Définition(s) des jumeaux numériques & PINNs

Plusieurs types de modèles:

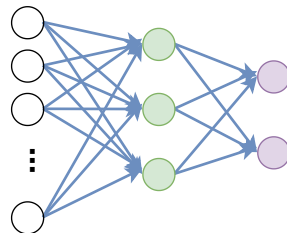
## Mecanistic model / simulation

$\forall \alpha \in [e; R+e] \quad \Phi_{k(\alpha)} = \Phi_{k(\alpha)}^{calc}$   
 en EPS, sans convection, ni pertes de chaleur  
 soit en quelqueque  $e \in [e; R+e]$   
 $\Phi_{k(\alpha)} = \delta k(\alpha) \times 2\pi \alpha l$  loi de Fourier  
 $\Rightarrow \Phi_{k(\alpha)} = -\lambda \cdot \frac{dT}{dr} \cdot 2\pi \alpha l$   
 $\Rightarrow \Phi_k = -2\pi \lambda l \cdot \alpha \cdot \frac{dT}{dr}$

Boundary conditions  
Calibration



## Data driven



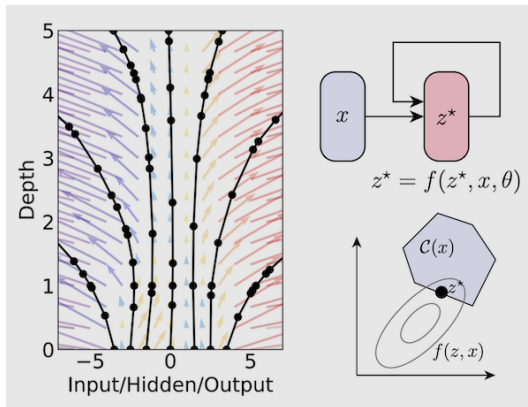
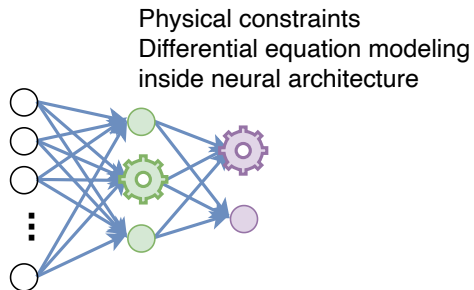
Model training





# Définition(s) des jumeaux numériques & PINNs

Combiner modèles mécanistes et approches fondées sur les données:  
 PINNs - Physics Informed Neural Networks



Neural ordinary differential equations. [Chen et al.](#) NeurIPS 2018



# Définition(s) des jumeaux numériques & PINNs

Vers des architectures hybrides:

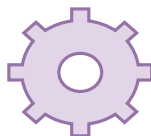
Huge composite mecanistic model



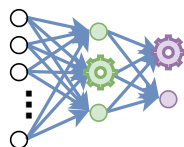
Weak component  
Not enough model hypotheses



Slow / costly  
Accurate



Fast  
Approximation



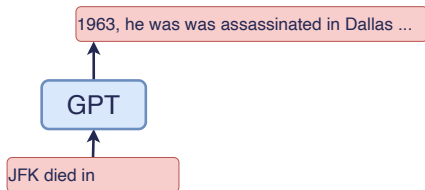
- Données de simulation
- Données réelles
- Données générées

# MACHINE LEARNING LIMITS



# chatGPT and the relationship with truth

- 1 Plausibility = grammar, agreement, tense concordance, logical sequences...  
⇒ Repeated knowledge
- 2 Predict the most **plausible** word...  
⇒ produces **hallucinations**
- 3 **Offline** functioning
- 4 chatGPT  $\neq$  **knowledge graphs**
- 5 Brilliant answers...  
And silly mistakes!  
+ we cannot predict the errors



## Example: producing a bibliography

Can you give me a short bibliography on VAE for Time Series?

recurrents.

2. "Variational Recurrent Autoencoders" par Chung et al. (2016) - Cette étude introduit une approche VAE pour la modélisation de séquences temporelles en utilisant des réseaux de neurones récurrents et une méthode de maximisation de la vraisemblance pour la phase d'entraînement.

### Variational recurrent auto-encoders

3. "Generative Modeling for Time Series" par Bao et al. (2017) - Cette étude propose une approche VAE pour la modélisation de séries temporelles profondes, y compris les VAE.

Q.Eubius, J.R.Van Amerfoort - arXiv preprint arXiv:1412.6581, 2014 - arxiv.org

In this paper we propose a model that combines the strengths of RNNs and SGVB: the Variational Recurrent Auto-Encoder (VRAE). Such a model can be used for efficient, large scale ...

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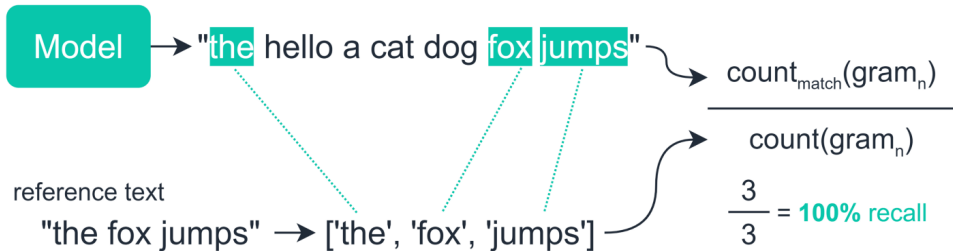
4. "Deep Variational Bayes Filters: Unsupervised Learning of State Space Models from Data" par Krishnan et al. (2017) - Cette étude présente une approche VAE pour la



# Generative AI: how to evaluate performance?

## The critical point today

- How to evaluate against ground truth?
- How to evaluate system confidence / plausibility of generation?

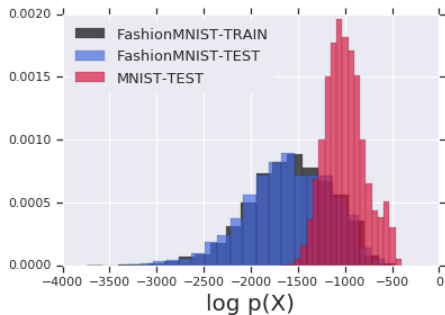




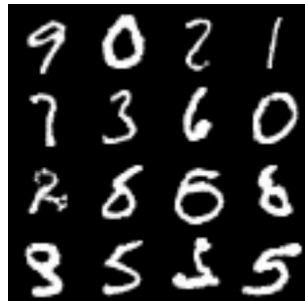
# Generative AI: how to evaluate performance?

## The critical point today

- How to evaluate against ground truth?
- How to evaluate system confidence / plausibility of generation?



Train



Test

Plausibility



*Do Large Language Models Know What They Don't Know?*, Yin et al. , ACL, 2023

*Do Deep Generative Models Know What They Don't Know?*, Nalisnick et al. , ICLR, 2019



# Stability/predictability

- Difficult to bound a behavior
- Impossible to predict good/bad answers

⇒ Little/no use in video games



how old is Obama



Barack Obama was born on August 4, 1961, making him 61 years old as of February 2, 2023.







# Stability/predictability

- Difficult to bound a behavior
- Impossible to predict good/bad answers

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V how old is obama?



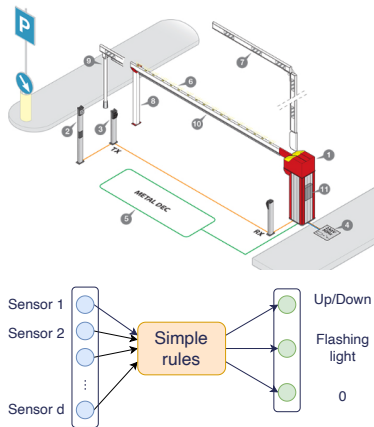
As of 2021, Barack Obama was born on August 4, 1961, so he is 60 years old.



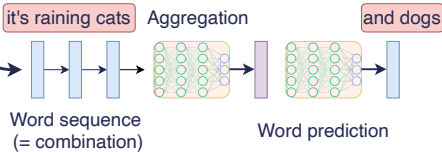
V and today?



# Stability, explainability... And complexity



- Simple system
- Exhaustive testing of inputs/outputs
- Predictable & explainable



- Large dimension
- Complex non-linear combinations
- Non-predictable & non-explainable



# Stability, explainability... And complexity

## Interpretability vs Post-hoc Explanation

Neural networks = **non-interpretable** (almost always)

*too many combinations to anticipate*

Neural networks = **explainable a posteriori** (almost always)



[Uber Accident, 2018]

- Simple system
- Exhaustive testing of inputs/outputs
- **Predictable & explainable**
- Large dimension
- Complex non-linear combinations
- **Non-predictable & non-explainable**



# Transparency

- Model weights (*open-weight*)... ⇒ but not just the weights
- Training data (*BLOOM*) + distribution + instructions
- Learning techniques
- Evaluation

## Foundation Model Transparency Index Scores by Major Dimensions of Transparency, 2023

Source: 2023 Foundation Model Transparency Index

	Meta	BigScience	OpenAI	stability.ai	Google	ANTHROPIC	cohere	AI21labs	Inflection	amazon	Average
	Llama 2	BLOOMZ	GPT-4	Stable Diffusion 2	PaLM 2	Claude 2	Command	Jurassic-2	Inflection-1	Titan Text	
Data	40%	60%	20%	40%	20%	0%	20%	0%	0%	0%	20%
Labor	29%	86%	14%	14%	0%	29%	0%	0%	0%	0%	17%
Compute	57%	14%	14%	57%	14%	0%	14%	0%	0%	0%	17%
Methods	75%	100%	50%	100%	75%	75%	0%	0%	0%	0%	48%
Model Basics	100%	100%	50%	83%	67%	67%	50%	33%	50%	33%	63%
Model Access	100%	100%	67%	100%	33%	33%	67%	33%	0%	33%	57%
Capabilities	60%	80%	100%	40%	80%	80%	60%	60%	40%	20%	62%
Risks	57%	0%	57%	14%	29%	29%	29%	29%	0%	0%	24%
Mitigations	60%	0%	60%	0%	40%	40%	20%	0%	20%	20%	26%
Distribution	71%	71%	57%	71%	71%	57%	57%	43%	43%	43%	59%
Usage Policy	40%	20%	80%	40%	60%	60%	40%	20%	60%	20%	44%
Feedback	33%	33%	33%	33%	33%	33%	33%	33%	33%	0%	30%
Impact	14%	14%	14%	14%	14%	0%	14%	14%	14%	0%	11%
Average	57%	52%	47%	47%	41%	39%	31%	20%	20%	13%	

(MAIN) RISKS  
DERIVED FROM ML & LLM



# Typology of AI Risks in NLP (L. Weidinger)



## Discrimination, exclusion and toxicity

Harms that arise from the language model producing discriminatory and exclusionary speech.



## Information hazards

Harms that arise from the language model leaking or inferring true sensitive information.



## Misinformation harms

Harms that arise from the language model producing false or misleading information.



## Malicious uses

Harms that arise from actors using the language model to intentionally cause harm.



## Human-computer interaction harms

Harms that arise from users overly trusting the language model, or treating it as human-like.



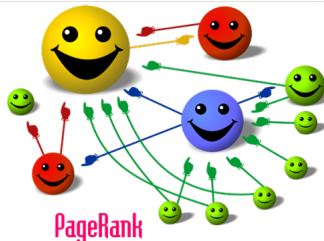
## Automation, access and environmental harms

Harms that arise from environmental or downstream economic impacts of the language model.



# Access to Information

- Access to dangerous/forbidden information
  - +Personal data
  - Right to digital oblivion
  
- Information authorities
  - Nature: unconsciously, image = truth
  - Source: newspapers, social media, ...
  - Volume: number of variants, citations (pagerank)
  
- Text generation: harassment...
  
- Risk of anthropomorphizing the algorithm
  - Distinguishing human from machine





# Machine Learning & Bias



Mustache, Triangular Ears, Fur  
Texture

Cat



Over 40 years old, white,  
clean-shaven, suit

Senior Executive

Bias in the data  $\Rightarrow$  bias in the responses

Machine learning is based on extracting statistical biases...

$\Rightarrow$  Fighting bias = manually adjusting the algorithm





# Machine Learning & Bias



Stereotypes from *Pleated Jeans*

Google Traduction

Texte

Images

Documents

Sites Web

Détection de la langue

Anglais

Français



Français

Anglais

Arabe

The nurse and the doctor



L'infirmière et le médecin



- Gender choice
- Skin color
- Posture
- ...

Bias in the data  $\Rightarrow$  bias in the responses

Machine learning is based on extracting statistical biases...

$\Rightarrow$  Fighting bias = manually adjusting the algorithm



# Bias Correction & Editorial Line

## Bias Correction:

- Selection of specific data, rebalancing
- Censorship of certain information
- Censorship of algorithm results

⇒ Editorial work...

- Domain experts / specifications
- Engineers, during algorithm design
- Ethics group, during result validation
- Communication group / user response

⇒ What legitimacy? What transparency? What effectiveness?

Done by whom?

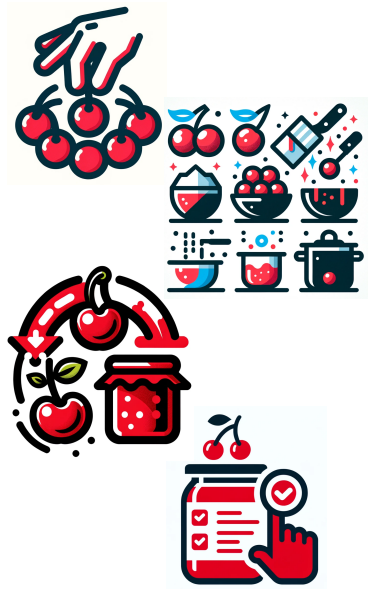




# Machine learning is never neutral

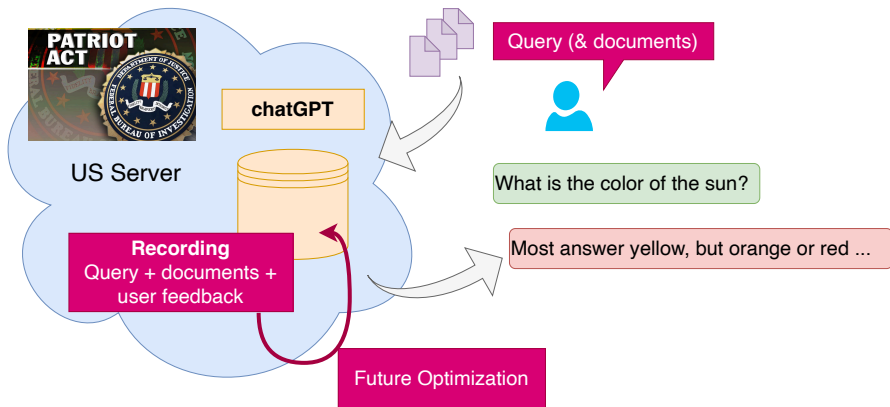
- 1 Data selection
  - Sources, balance, filtering
- 2 Data transformation
  - Information selection, combination
- 3 Prior knowledge
  - Balance, loss, a priori, operator choices...
- 4 Output filtering
  - Post processing

⇒ Choices that influence algorithm results





# Data Leak(s)

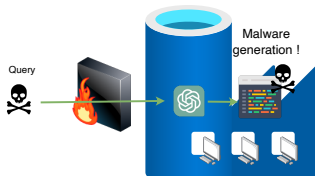
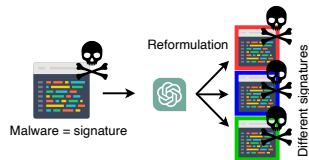
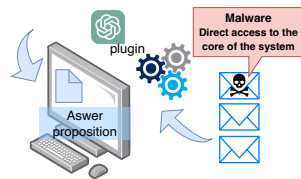


- Transfer of sensitive data
- Exploitation of data by OpenAI (or others)
- Data leakage in future models

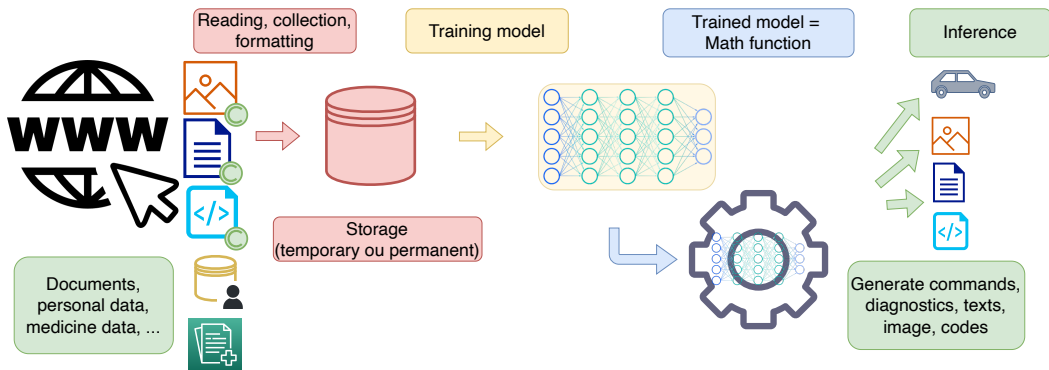


# Security Issues

- Plug-ins ⇒ Often significant security vulnerabilities for users
  - Email access / transfer of sensitive information etc...
- Management issues for companies
  - Securing (very) large files
- Increased opportunities for malware signatures
  - ≈ software rephrasing
- New problems!
  - Direct malware generation



# Legal Risks/Questions



Copyright and database law

Right to collect, right to copy, consent

Right to use data in an algorithm  
**Optout**

Model = emanation of data?

Clearview.ai

Cambridge Analytics

Reproductions of untraceable extracts

Usage regulation

Responsibility for errors



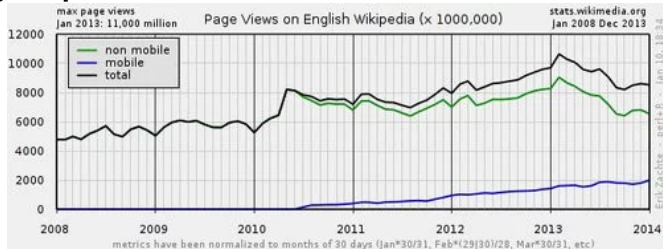
# Economic Questions

- Funding/Advertising  $\Leftrightarrow$  **visits** by internet users
- Google knowledge graph (2012)  $\Rightarrow$  fewer visits, less revenue
- chatGPT = encoding web information...  $\Rightarrow$  much fewer visits?

$\Rightarrow$  What **business model for information sources** with chatGPT?

## Google's Knowledge Graph Boxes: killing Wikipedia?

by Gregory Kohs



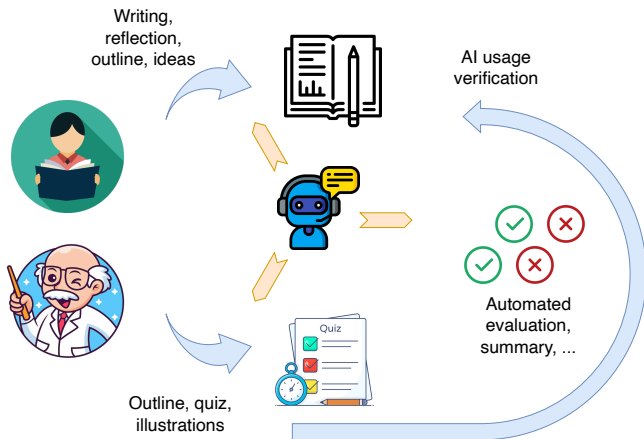
$\Rightarrow$  Who does **benefit from the feedback?** [StackOverflow]



# Risks of AI Generalization

AI everywhere =  
loss of meaning?

- In the educational domain
- Transposition to HR
- To project-based funding systems







# How to approach the ethics question?

## Medicine

- 1 Autonomy:** the patient must be able to make informed decisions.
- 2 Beneficence:** obligation to do good, in the interest of patients.
- 3 Non-maleficence:** avoid causing harm, assess risks and benefits.
- 4 Justice:** fairness in the distribution of health resources and care.
- 5 Confidentiality:** confidentiality of patient information.
- 6 Truth and transparency:** provide honest, complete, and understandable information.
- 7 Informed consent:** obtain the free and informed consent of patients.
- 8 Respect for human dignity:** treat all patients with respect and dignity.

## Artificial Intelligence

- 1 Autonomy:** Humans control the process
- 2 Beneficence:** in the interest of whom? User + GAFAM...
- 3 Non-maleficence:** Humans + environment / sustainability / malicious uses
- 4 Justice:** access to AI and equal opportunities
- 5 Confidentiality:** what about the Google/Facebook business model?
- 6 Truth and transparency:** the tragedy of modern AI
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# CONCLUSION



# Tools and Questions

## New tools:

- New ways to handle existing problems
- Address new problems
- ... But obviously, it doesn't always work!
- AI often makes mistakes (assistant vs replacement)

Learning to use an AI system

- AI not suited for many problems
- AI = part of the problem (+interface, usage, acceptance...)



# Maturity of Tools & Environments

## (More) mature tools

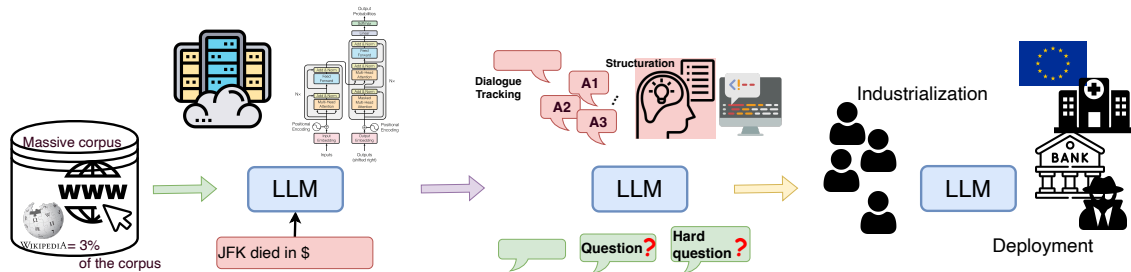
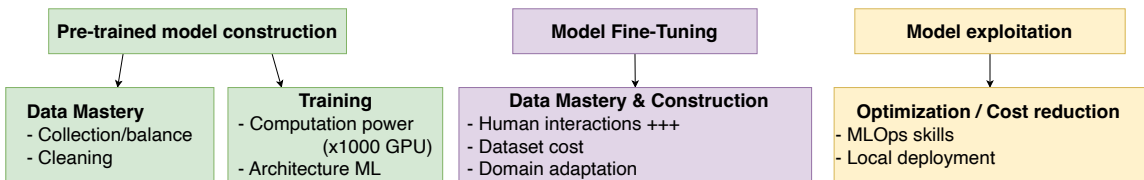
- **Environments:** Jupyter, Visual Studio Code, ...
  - **Machine Learning** Scikit-Learn: blocks to assemble
    - Training: 1 week
    - Project completion: few hours to few days
  - **Deep Learning** pytorch, tensorflow: building blocks... but more complex
    - Training: 2-5 weeks
    - Project completion: few days to few months
    - Mandatory for text and image
- A data project = 10 or 100 times less time / 2005
  - Developing a project is **accessible to non-computer scientists**



# Levels of Access to Artificial Intelligence

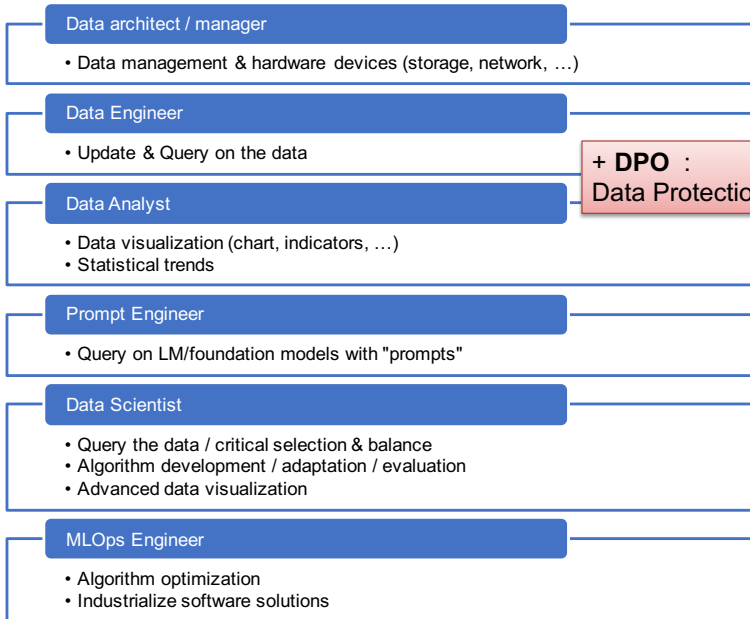
- 1 User via an interface: *chatGPT*
  - Some training is still required (2-4h)
- 2 Using Python libraries
  - Basics on protocols
  - Standard processing chains
  - Training: 1 week-3 months (ML/DL)
- 3 Tool developer
  - Adapt tools to a specific case
  - Integrate business constraints
  - Build hybrid systems (mechanistic/symbolic)
  - Mix text and images
  - Training:  $\geq 1$  year

# Digital Sovereignty: the Entire Chain





# A Multitude of Professions



**+ DPO :**  
**Data Protection Officer**







# Factors of Acceptability for Generative AI

## 1 Utilitarianism:

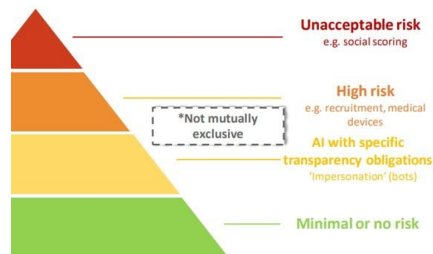
- Performance (acceptance factor of chatGPT)
- Reliability / Self-assessment

## 2 Non-dangerousness:

- Bias / Correction
- Transparency (editorial line, human/machine confusion)
- Reliable Implementation
- Sovereignty (?)
- Regulation (AI act)
  - Avoid dangerous applications

## 3 Know-how:

- Training (usage/development)





# chatGPT: A Simple Step

## ■ Training & Tuning Costs

4-5 Million Euros / training ⇒ chatGPT is **poorly trained!**

## ■ Data Efficiency

chatGPT > 1000x a human's lifetime reading

## ■ Identify Entities, Cite Sources

Anchoring responses in knowledge bases

Anchoring responses in sources



Sam Altman @sama

ChatGPT launched on wednesday. today it crossed 1 million users!

8:35 AM · Dec 5, 2022

3,457 Retweets 573 Quote Tweets 52.8K Likes

...

- Multiplication of initiatives: GPT, LaMBDA, PaLM, BARD, BLOOM, Gopher, Megatron, OPT, Ernie, Galactica...

- Public involvement, impact on information access